



City of Merced

3<sup>rd</sup> Year Consolidated Annual Performance

and Evaluation Report (CAPER)

2012-2013 Program Year



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## Third Program Year CAPER

The CPMP Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

### GENERAL

#### Executive Summary

This module is optional but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the year.

#### **Program Year 3 CAPER Executive Summary response:**

Change and Challenges were the two primary factors that influenced the City of Merced's Housing Division with its CDBG programs during Program Year 3. Like other cities in California, the Housing Division was influenced by the elimination of Redevelopment Agencies. While the Division operates separately, loss of the Redevelopment Agency in Merced meant the Division became the Housing Successor, responsible for intake and operations without additional support and lost one of its funding sources as Low and Moderate Income RDA funds had been used to assist with construction of multi-family units, and rehabilitation and first time home buyer loans. Staffing didn't change this year, staff overcame many challenges.

Working to meet the goals outlined in the 2012 Annual Action Plan, other necessary goals were added to this very busy year. The list of priorities continued training of staff for all programs, improving monitoring, processes and procedures for all State or Federal Programs.

This CAPER is a federally mandated document that evaluates the City's overall progress and performance in meeting the priority activities identified in its Consolidated Plan. This document is a tool used by HUD and the City to evaluate accomplishments and actions taken during the previous program year. This CAPER assesses the City of Merced's third year of progress for the Consolidated Plan years 2010 through 2015 in completing activities identified in the 2012-2013 Action Plan and amendments for the period beginning July 1, 2012 through June 30, 2013, as well as activities that continue from prior program years.

***Major initiatives and highlights proposed and executed throughout the year***

With a 17% decrease in CDBG funds, 35% decrease in HOME funds, and new staffing in the Housing Division, the focus for 2012/13 was to work efficiently addressing the highest priorities while preparing for the 2013-14 fiscal year and improving processes and procedures within the Housing Division. The Division examined many of the current programs and either removed from the Fourth Year Plan or enhanced to meet current National Objectives and Eligible Activities. Focus was two-fold, internal operations and processes; and external housing goals as set forth in the Annual Plan. Use of state and federal Neighborhood Stabilization funds remained crucial to the housing program as did CDBG and HOME funding. With a strong dedication to produce quality programs in the midst of many constraints, the Housing Division selected the following as highest priority:

- First time home buyer's assistance
- Purchase and rehabilitation of homes using Neighborhood Stabilization Program (NSP) funds
- Completion of the Housing Element
- Providing funding for Community Policing and Gang Interventions Program
- Servicing of the Section 108 Loan for the Grove Apartment complex
- Funding to ensure additional multi-family units for families of moderate to very low income
- Continued funding of the County-wide Continuum of Care Program
- Improving internal systems to decrease processing time
- Increasing training and certification for staff dealing with rehabilitation, lead based paint, and house purchases.
- Improving all state and federal program internal processes and reporting to ensure meeting the National Objectives.
- Improving Lead Based Paint Identification and Abatement Procedures
- Increasing funds to local organizations to assist with the homeless population

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**General Questions**

1. Assessment of the third-year goals and objectives:

***a. Describe the accomplishments in attaining the goals and objectives for the reporting period.***

The focus of the 2012-13 Action Plan related to Affordable Housing was to continue to address the foreclosure issue using Neighborhood Stabilization Program (NSP) funds to purchase, rehab and resell properties to income qualified families. Six (6) homes were purchased with NSP funds to add to existing NSP stock and a total of thirteen (13) homes were rehabbed and sold. CDBG and HOME funds were used to increase affordable housing in the community, and to service the Section 108 Loan for the Grove Apartments. Multiple year's of CDBG set aside and HOME dollars

along with CHDO and NSP grant funds were used to help finance a new multi-family project, Gateway Terrace. Neighborhood Stabilization Program and HOME funds were allocated to the Woodbridge multi-family apartment project on Highway 59.

Improvements to public services, infrastructure and city coordination were also goals set into place in FY 2012-13. Accomplishments for housing and other goals attained are provided in Tables 1 and 2 below. **Table 1** shows the specific national objective, anticipated outcome, unit goals and unit accomplishments, along with the type of unit the objectives pertain to during the 2012-13 program year.

**Table 1:  
2012-13  
Program Objectives, Expected Outcomes, Units**

OBJECTIVE	OUTCOME GOAL #	OUTCOME	UNIT NEED	UNIT ACCOMPLISHMENTS	UNIT TYPE
<b>A: Housing Rehabilitation, Reconstruction and Neighborhood Revitalization</b>	1	Low interest rehabilitation or reconstruction loans to qualified home owners	10-15	2 Units Accomplished CAL Home 06	Loans
		Purchase/Rehab of foreclosed homes	5 - 10	4 Homes Purchased 7 Homes Rehabbed	Purchase Costs Rehab Costs
	2	Code Enforcement Program – Lea Hazard Evaluation and Abatement	4 Units	982 cases opened – 1,005 calls serviced 300 Lead Based Booklets provided to families that reside in LMI Target Census Tracts	Eligible Cases
	3	Lead Hazard Evaluation and Abatement	Unspecified	7 NSP Homes were contained	NSP Rehab.
<b>B: New Affordable Housing Construction</b>	1	Section 108 Loan – The Grove Apartments	Loan to cover HUD 108 Loan	204 Constructed  HUD 108 Loan to the Grove Apartments	111 Occupied
	2	Housing to Meet Special Needs (CHDO Set-Aside)	Continue to Provide Financial Assistance for Development of Housing Projects.	66 Multi-Family Units Gateway Terrace	Housing Units
	3	Affordable Housing Projects			
	4	Housing to Meet Special Needs (CHDO Set-Aside)		4 Unit Development on Highway 59	Housing Units

OBJECTIVE	OUTCOME GOAL #	OUTCOME	UNIT NEED	UNIT ACCOMPLISHMENTS	UNIT TYPE
<b>D: City Coordination</b>	1	Fair Housing Programs	Unspecified Grant Writing Assistance to Organizations with Programs for the Homeless	59 Telephone Calls 290 Meeting Attendees 0 Reports filed with Fair Housing	Telephone Calls Reports Meetings
	2	Continuum of Care Plan and 10-year Plan to End Homelessness		Successfully received \$650,496 from 5 different grants for funding local homeless programs and service providers. Counted and surveyed approximately 112 homeless in Merced.	Admin. Funding
<b>E: Improvement of Public Services</b>	1	Police – Graffiti Abatement	Undefined	41 Graffiti Arrest Graffiti Education	Sustainability
	2	Merced Lao Family Youth Program	Undefined	69 Youth Registered 36 Qeej class sessions 26 Dance sessions.	Access/ Availability
	3	Merced Lao Family Translation Services	Undefined	501 individuals were provided translation services	Access/ Availability
	4	Merced County Rescue Mission	Undefined	Provide a warming center for over-night accommodations for homeless population	Homeless
	5	Potter’s Place	Undefined	Trained 23 Individuals 3 Full-Time Employees	Low Income/ Job Training
	6	Healthy House	Undefined	Assisted 32 Senior Citizens with Chronic Illnesses	Access/ Availability/ Sustainability
	7	Merced Community Action Agency	Undefined	Assisted 16 Households avoid eviction	Access/ Availability/ Affordability
<b>F: Planning for Future Housing and Infrastructure Needs</b>	1	Shaffer Building Market and Feasibility Study	Undefined	To provide a Draft Feasibility and Marketing Study for Building	Economic Development
	2	MGT of America – Cost Allocation Plan	Undefined	Reviewed Staff’s Admin and Indirect Admin Costs.	Admin
<b>G: Economic Development</b>	1	Economic Development	Undefined	Employment Generator	Sustainability

**Table 2** below reflects the specific programs and projects in which the City directed CDBG, HOME and other funding allocations to meet specific objectives.

**Table 2  
2012/13  
Specific Program and Project Accomplishments**

OBJECTIVE	PROGRAM	FUNDING SOURCE	OUTCOME
<b>A: Housing Rehabilitation, Reconstruction, and Neighborhood Revitalization</b>	Neighborhood Stabilization Program 1: Purchase, Rehab & Resale Program	NSP 1	Purchased: 4 Rehabbed: 7 Sold: 8 Foreclosed Homes
	Neighborhood Stabilization Program 3: Purchase, Rehab & Resale Program	NSP 3	Purchased: 0 Rehabbed: 1 Sold: 1 Foreclosed Homes
	Low interest rehabilitation/reconstruction loans to qualified home owners	CDBG HOME CalHOME	CDBG: 0 Rehab Loan HOME: 0 Rehab Loan CalHOME: 2 Rehab Loans
	Code Enforcement	CDBG	Responded to 1,005 calls, 982 which were opened. 23 units had violations corrected using other funding sources. Over 300 residents were contacted regarding lead based paint and asbestos. Staff attended 4 quarterly neighborhood meetings
	Lead Evaluation and Abatement	CDBG	Staff was effectively confine or abate all NSP properties during the rehabilitation process to ensure each First Time Home Buyer received a Lead Clearance Certificate. Staff educated all contractors during the bid process about proper lead based paint removal techniques.
<b>B: New Affordable Rental Housing Construction</b>	Neighborhood Stabilization Program	NSP 1	Allocated \$1,300,000 to Woodbridge Allocated \$300,000 to Gateway Terrace
	Housing to Meet Special Needs	CHDO	Allocated \$90,234 to Gateway Terrace (FY's 2010/11 & 2011/12)
	Housing to Meet Special Needs	CHDO	Allocated \$57,421 to Hwy 59 (FY 2012/13- not including FY 2013/14)
	Affordable Housing Projects	HOME	Allocated \$1,500,000 to Gateway Terrace Allocated \$300,000 to Woodbridge
<b>C: Housing Affordability – Homebuyers Assistance Programs</b>	Down Payment Assistance First Time Home Buyer Program	NSP 1	8 Loans totaling \$58,309
		NSP 3	1 Loan totaling \$8,950
		CalHome	1 Loan totaling \$7,475
<b>D: City Coordination</b>	Fair Housing Programs	CDBG	59 Calls Received from Landlords and Tenants, 290 Meeting Attendees, with no complaints filed with HUD.

OBJECTIVE	PROGRAM	FUNDING SOURCE	OUTCOME
<b>D: City Coordination (Continued)</b>	Continuum of Care (CoC) – Funding for one staff member to facilitate and organize the CoC 10-year Plan.	CDBG	Successfully received \$650,496 from 5 different grants for funding local homeless programs and service providers. Counted and surveyed approximately 112 homeless in Merced. Facilitated Executive Council meetings and General Collaborative meetings with CoC Boards toward reaching CoC goals.
<b>E: Improvement of Public Services</b>	Police – Graffiti Abatement	CDBG	41 Graffiti Arrest Graffiti Education
	Merced Lao Family Youth Program	CDBG	69 Youth Registered 36 Qeej class sessions 26 Dance sessions
	Merced Lao Family Translation Services	CDBG	501 individuals were provided translation services
	Merced County Rescue Mission	CDBG	Provide a warming center for overnight accommodations for homeless population
	Potter’s Place	CDBG	Trained 23 Individuals 3 Full-Time Employees
	Healthy House	CDBG	Assisted 32 Senior Citizens with Chronic Illnesses
	Merced Community Action Agency	CDBG	Assisted 16 Households avoid eviction
<b>F: Planning for Future Housing and Infrastructure Needs</b>	Shaffer Building Market and Feasibility Study	CDBG	These studies will provide building owner a recommendation for rehabilitation.
	Cost Allocation Plan	CDBG	Assist Staff with Admin Costs
<b>G: Economic Development</b>	Economic Development	CDBG	Funds were used to attract business growth in the community.

Table 3 shows the 2012-13 Expenditures of the CDBG and HOME programs. The Table shows each program, funding source and number of persons served.

**Table 3  
2012-13 Expenditures**

SOURCES OF REVENUE	CDBG	HOME	TOTAL	PERSONS SERVED
Previous Year Carryover	\$391,979	1,582,393	\$1,974,372	
Annual Grant Amount	\$ 950,304	\$386,355	\$1,336,659	
Program Income	\$116,198	\$83,139	\$199,337	
<b>TOTAL REVENUE:</b>	<b>\$1,458,481</b>	<b>\$2,051,887</b>	<b>\$3,510,368</b>	

SOURCES OF REVENUE	CDBG	HOME	TOTAL	PERSONS SERVED
<b>ADMINISTRATIVE COSTS:</b>				
Administration, loan servicing, operation	\$102,922	\$170,317	\$273,240	
Indirect Administration	\$25,495	\$17,246	\$42,741	
<b>AFFORDABLE HOUSING</b>				
Multi-Family New Construction – Gateway Terrace	\$0	\$1,280,083	\$1,280,083	66 Units
Acquisition – First Time Homebuyer Program	\$0	\$0	\$0	0
<b>CHDO LOAN</b>				
Housing to Meet Special Needs Gateway Terrace Apartments	\$0	\$90,234	\$90,234	66 Units
<b>DECENT HOUSING</b>				
Rehabilitation Projects	\$0	\$0	\$0	0
<b>FAIR HOUSING</b>				
Fair Housing Hotline/Counseling Services	\$3,000	\$8,000	\$11,000	59+290 349 Total
<b>HOMELESSNESS</b>				
Continuum of Care (Admin)	\$35,000	\$0	\$35,000	Unknown
<b>PUBLIC SERVICES</b>				
Police Graffiti Abatement	\$159,112	\$0	\$159,112	41
Shaffer Building Feasibility	\$16,755	\$0	\$16,755	Unknown
MGT of America (Cost Allocation Plan)	\$17,500	\$0	\$17,500	Unknown
Merced Lao Family (Cultural Youth Program)	\$6,849	\$0	\$6,849	69
Merced Lao Family (Translation Services)	\$1,859	\$0	\$1,859	501
Merced County Rescue Mission	\$3,500	\$0	\$3,500	1,104
Potter's Place	\$9,500	\$0	\$9,500	23
Healthy House	\$739	\$0	\$739	32
Merced County Community Action Agency	\$19,762	\$0	\$19,762	51
<b>COMMUNITY DEVELOPMENT</b>				
Economic Development	\$200,000	\$0	\$200,000	
Code Enforcement	\$150,000	\$	\$150,000	1,005
<b>SECTION 108 LOAN</b>				
The Grove Multi-Family Apartments	\$263,260	\$0	\$263,260	111 Units
<b>TOTAL EXPENDITURES:</b>	\$1,015,253	\$1,565,880	\$2,581,133	

- b. Describe the accomplishments in attaining the goals and objectives for the reporting period.
- c. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
- d. If applicable, explain why progress was not made towards meeting the goals and objectives.

The following pages provide **Tables 4 through 7**. Each table represents a funding source and provides a breakdown of projects undertaken by the City of Merced in FY 2012/13.

The tables provide the Objectives for each project, amount expended, and number of households or persons served. Also included are accomplishments of projects funded with Neighborhood Stabilization (NSP) and CalHOME funding. It is important to include the results within this document as accomplishments in the City’s efforts to mitigate the effects of foreclosures to prevent further community decline and to meet the goals and objectives as outlined in the 2010 Consolidated Plan, 2011 Annual Action Plan, and 2012 Annual Action Plan.

Following each of the tables is a summary of each of those projects listed per funding source.

**Table 4  
2012-13 Objectives and Outcomes  
HOME Funded Programs**

HOME Investment partnership Funds (HOME) – Objectives and Outcomes	2012-13 Expenditures	Number of Persons served
<b>Objective A: Housing Rehabilitation, Reconstruction and Neighborhood Revitalization</b>		
Administration and Indirect Administration	\$187,563	N/A
Gateway Terrace Apartments	\$1,280,083	66 Units
<b>Objective B: New Affordable Housing Construction</b>		
Housing to Meet Special Needs (CHDO) – Gateway Terrace	\$90,234	See Above
<b>Objective C: Housing Affordability (Assistance Programs)</b>		
<b>Objective D: City Coordination</b>		
Fair Housing Programs	\$8,000	59 Calls 290 Individuals
<b>Objective E: Improvement of Public Services</b>		
<b>Objective F: Planning for Future Housing and Infrastructure Needs</b>		
<b>Objective G: Economic Development</b>		
<b>Total HOME Allocations</b>	<b>\$1,565,880</b>	



### **Objective A: Rehabilitation, Reconstruction and Neighborhood Revitalization**

#### **Housing Rehab Loan Program**

This activity provides low interest rehabilitation/reconstruction loans to qualifying home owners and owners of rental properties. This activity also includes emergency loans which provide funding to repair failing roofs, inoperable sewer lines, and other emergencies regarding health and safety. \$57,421 was set aside for this program in FY 12-13 with the goal of assisting 10 to 15 low income households or renters with assistance.

#### **Lead Hazard Evaluation and Abatement**

This activity continued the requirements of the City's Housing Rehabilitation Loan Program to ensure that rehabilitation funds are available for the inspection and removal of lead-based paint hazards. CDBG, CalHOME and NSP funds were used to abate Lead Based Paint from one (1) home-owner occupied rehabilitated houses and seven (7) foreclosed homes purchased through the NSP Program prior to resale.

This goal also included taking all the necessary steps to ensure compliance with revised federal regulations regarding lead-based paint as outlined in the 2010-2015 Consolidated Plan. In Year 3 the Housing Division dedicated funding to ensure the Rehab Specialist and Inspector were certified in Lead Based Paint Identification and Abatement. Code Enforcement Officers were contacted and target areas and a Lead Abatement Educational and Assistance Plan created for FY 2013-14. New pamphlets and outreach were created and put into place at end of Year 3.

## **Objective B: New Affordable Housing Construction**

### **Objective Review and Permitting**

Not Applicable to this Funding Source

### **Housing to Meet Special Needs (CHDO Set-Aside)**

The City of Merced Housing Program continues to focus on providing housing opportunities to groups with special housing needs. When creating the 2012 Annual Action Plan no specific project was identified by the local CHDO, Central Valley Coalition for Affordable Housing. Staff retained the funds and is proposing to combine this year's funds with next year for construction of a Single Family Housing Project. The proposed development will be overseen by Central Valley Coalition for Affordable Housing. This project will include construction of four units to low-income families with affordable housing.

### **Affordable Housing Projects**

Prior year's funding allocations were disbursed to Woodbridge Multi-Family Apartments and to Gateway Terrace Apartment Complexes in FY 2012/13 with construction of these developments were either completed or near completion in the summer of 2013. The Woodbridge development is 100% occupied, while the Gateway Terrace Development is 95% completed. The City assisted these developments with funds from the following sources: CDBG, HOME, CHDO, NSP and Energy Efficient Block Grant.



## **Objective C: Housing Affordability (Assistance Program)**

### **Housing Affordability**

Not Applicable to this Funding Source

## **Objective D: City Coordination**

### **Fair Housing Programs**

A Fair Housing Hotline and Education program are provided annually by the Central Valley Coalition which oversees Merced's Fair Housing program. Funded with \$8,000 in HOME funds, this program provided 36 Fair Housing Workshops to tenants and landlords at various Merced locations, including the Housing Authority of Merced County and the City of Merced Civic Center.

A total of 290 people were counted in attendance at the Fair Housing Workshops. In addition, area residents were sent quarterly informational flyers, newsletters, and had an opportunity to attend workshops which were advertised to more than 90 local service agencies, apartment complexes, and property management companies in Merced County.

A toll-free hotline, 1-888-MERCED8 received a total of 59 calls from July 1, 2012 through June 30, 2013. Calls were from tenants and landlords with a variety of issues they needed help or advice in resolving. All calls were handled via telephone and none resulted in a Fair Housing Complaint being filed with HUD.

**Objective E: Improvement of Public Services**

Not Applicable to this Funding Source

**Objective F: Planning and Future Housing and Infrastructure Needs**

**Planning and Urban Development**

Not Applicable to this Funding Source

**Objective G: Economic Development**

Not Applicable to this Funding Source.



**Table 5  
2012-13 Objectives and Outcomes  
CDBG Funded Programs**

<b>Community Development Block Grant (CDBG) Funds – Objectives and Outcomes</b>	<b>2012-13 Expenditures</b>	<b>Number of Persons Served</b>
<b>Objective A: Housing, Rehabilitation, Reconstruction, and Neighborhood Revitalization</b>		
Code Enforcement - Lead Hazard Evaluation and Abatement	\$150,000	1,005
<b>Objective B: New Affordable Housing Construction</b>		
The Grove Apartments – Section 108 Loan	\$263,260	111
<b>Objective C: Housing Affordability</b>		
<b>Objective D: City Coordination</b>		
Fair Housing Programs	\$3,000	59 Calls 290 Individuals
Continuum of Care Program	\$35,000	Unknown
<b>Objective E: Improvement of Public Services</b>		
Police Graffiti Abatement	\$159,112	41
Merced Lao Family (Cultural Youth Program)	\$6,849	69
Merced Lao Family (Translation Services)	\$1,859	501
Merced County Rescue Mission	\$3,500	1,104
		23 Individuals

<b>Community Development Block Grant (CDBG) Funds – Objectives and Outcomes</b>	<b>2012-13 Expenditures</b>	<b>Number of Persons Served</b>
Potter’s Place	\$9,500	Trained 3 Full-Time Employees
Healthy House	\$739	32
Merced County Community Action Agency	\$19,762	16 Households 51 Individuals
<b>Objective F: Planning for Future Housing and Infrastructure Needs</b>		
Shaffer Building Market and Feasibility Study’s	\$16,755	Unknown
MGT of America (Cost Allocation Plan)	\$17,500	Unknown
<b>Objective G: Economic Development</b>		
Economic Development	\$200,000	Funds were used to attract business growth in the community.
<b>Total CDBG Allocations</b>	<b>\$886,836</b>	

**Objective A: Rehabilitation, Reconstruction, & Neighborhood Revitalization**

**Code Enforcement Program**

The CDBG program funded two Code Enforcement Officers in designated Census Tract areas to promote building rehabilitation, reduce crime and improve the overall living environment for residents of those neighborhoods. \$150,000 was budgeted for this program.

From July 1, 2012 until June 30, 2013, Code Enforcement Officers responded to and documented over 1,005 housing violations. From those, 982 cases were opened. Of the 1,005 housing units found in violation, incomes of residents that fall within the income guidelines as outlined by CDBG were not checked as all the residences identified are located within an approved Low to Moderate Income (LMI) Census Tract.

In addition to responding to cases, Code Enforcement Officers educated residents about building rehabilitation and City programs available to financially assist renters and landlords; lead based paint hazards and City programs to help finance removal of said hazard; and provide additional information regarding health and safety issues. Over 300 residents were contacted through special neighborhood projects and were provided flyers with information on Healthy Housing Resources, which included a list of licensed asbestos and lead removal companies. EPA flyers were given with Neighborhood Watch information packets and presented at Neighborhood Watch meetings, quarterly block leaders meetings, at City street fairs and other such public events.

## **Lead Hazard Evaluation and Abatement**

This activity continued the requirements of the City's Housing Rehabilitation Loan Program to ensure that rehabilitation funds are available for the inspection and removal of lead-based paint hazards. The City provided \$9,900 in NSP funds for seven (7) properties to cover the cost of lead hazard evaluations, confinement and or abatement.

This goal also included taking all the necessary steps to ensure compliance with revised federal regulations regarding lead-based paint as outlined in the 2010-2015 Consolidated Plan. In Year 3 the Housing Division dedicated funding to ensure the Rehab Specialist and Inspector were certified in Lead Based Paint Identification and Abatement. Code Enforcement Officers were contacted, target areas redefined, and a Lead Abatement Educational and Assistance Plan created for FY 2012-13. New pamphlets and outreach were created and put into place at end of Year 2.

### **Objective B: New Affordable Housing Construction**

#### **Affordable Housing Projects**

The Grove Apartments Section 108 Loan: In FY 2004, the City agreed to support the Section 108 Loan for the Grove 204 multi-family unit apartment complex if profit from the complex was unavailable. Since that time CDBG funding has been used to pay the annual HUD debt. \$321,860 was allocated for FY 2012-13.

This year the City used \$263,260 of CDBG funding to cover the Section 108 Loan. The Grove apartments were not able to make a payment to the City to assist with the loan repayment. Staff is anticipating repayment of funds once the State Department of Finance makes a decision regarding if the loan repayment is an obligation of the Designated Local Authority.

### **Objective C: Housing Affordability**

Not Applicable to this Funding Source

### **Objective D: City Coordination**

#### **Fair Housing Programs**

A Fair Housing Hotline and Education program are provided annually by the Central Valley Coalition which oversees Merced's Fair Housing program. Funded with \$11,000 in CDBG and HOME funds, this program provided 35 Fair Housing Workshops at various locations, including the Housing Authority of Merced County and the City of Merced.

A total of 290 people were counted in attendance at the Fair Housing Workshops. In addition, area residents were sent quarterly informational flyers, newsletters and had an opportunity to attend workshops which were advertised to more than 90 local service agencies, apartment complexes, and property management companies in Merced County.

A toll-free hotline, 1-888-MERCED8 received a total of 59 calls from July 1, 2012 through June 30, 2013. Calls were from tenants and landlords with a variety of issues they needed help or advice in resolving. All calls were handled via telephone and none results in a Fair Housing Complaint being filed with HUD.

### **Continuum of Care Plan and 10-Year to End Homelessness**

The City of Merced provided \$35,000 to the Continuum of Care and 10-Year Plan to End Homelessness. These funds paid for one employee from the Merced County Association of Governments to be the organizer of the 10-Year Plan and help local organizations by applying for grants to assist with homeless services and programs. Once funds are received, local organizations are granted funds from the Continuum. Many of the grants require a Continuum of Care (CoC) be in place for eligibility.

The Continuum of Care (CoC) is a HUD term referring to the “continuum” of services allowing individuals to move from homelessness to emergency shelter, transitional housing and, ultimately, permanent housing. Through this process, individuals in need of supportive services are provided necessary resources to address root causes of homelessness. The CoC Plan is a document describing a community’s comprehensive response to homelessness. This document is a prerequisite for submitting an application to HUD’s CoC grant program.

Historically, CoC grant applications are accepted in November of each year. With a CoC Plan in place, local organizations are able to apply for \$477,392 in CoC renewal funding for the 2012 application cycle. Although the Notice of Funds Availability, or NOFA, has not been released as of the date of this report, it is anticipated that there will be approximately \$250,000-\$300,000 in funds for new projects. The priority for new projects will be Permanent Supportive Housing fulfilling Recommendation 3 of the 10-Year Plan to End Homelessness as well as following the housing-first model of Recommendation 1.

### **Renewal Projects**

- Mental Health - Project Home Start - \$128,063; Project Home Start provides permanent supportive housing to four dual-diagnosed, chronically homeless adults. Grant was originally awarded in 2005 and requires annual renewal.
- Sierra Saving Grace Homeless Project - \$76,953; The program will provide seven chronically homeless, medically disabled individuals permanent housing and supportive services. The grant was awarded in 2011 and HUD anticipates having a contract in place before the end of the 2012 calendar year and therefore planning on annual renewal beginning in 2013.

- Community Action Agency - Homeless Management Information Systems (HMIS) - \$81,163. It is the role of the HMIS project to create an unduplicated count of the homeless individuals and families in the Merced County system of care including demographic traits such as age, gender, and veteran and disability status.
- Community Social Model Advocates-Rose Julia Riordan Tranquility Village - \$115,534; The program provides transitional housing and supportive services for up to two years for 10 women and up to six children.
- Turning Point Community Programs - \$248,783; The program provides up to 12 dually diagnosed, chronically homeless mental health clients housing, basic needs and individualized intensive services as clinically appropriate.

The 10-Year Plan was approved by the MCAG Governing Board in May 2011. The Implementation Team (10-Year Plan Recommendation 20) was appointed by the MCAG Governing Board in September 2011. May 2013 marked the second anniversary of the 10-Year Plan. Goals to date:

- Recommendation 1 - Adopt a “Housing First Model/Rapid Re-housing Approach” and begin implementing it immediately. **Outcome:** Model/Approach was adopted immediately.
- Recommendation 2 – Obtain Shelter Plus Care Certificates. **Outcome:** The Housing Authority of Merced County is currently administering eight vouchers. Along with permanent housing, clients are receiving supportive services from Mental Health.
- Recommendation 3 – Create Permanent Supportive Housing Units. **Outcome:** Merced County Mental Health opened Project Hope Westside. The program provides 10, dually diagnosed, chronically homeless mental health clients housing, basic needs and individualized intensive services as clinically appropriate in Los Banos. As of June 6, 2013, eight persons are in the program.
- Recommendation 4 - Obtain HUD Veterans Affairs Supportive Housing (VASH) Vouchers. **Outcome:** Housing Authority of the County of Merced received 25 HUD-VASH Vouchers – HUD-VASH Vouchers will support 25 veterans in finding and maintaining permanent housing. HUD provides a Housing Choice Section 8 Voucher and VA provides case management and support for veterans transitioning from homelessness to permanent housing. Currently, 23 veterans are housed, one veteran has a voucher and is searching for housing, and one veteran is pending eligibility for a voucher. The Veterans Administration has a local Licensed Clinical Social Worker who provides the case management and works at the Veterans Community Based Outpatient Clinic located at 340 E. Yosemite Avenue in Merced.

- Recommendation 8 – “...Funding to increase homelessness prevention resources will also be the focus of a funding request by the Community Action Agency to the City of Merced Community Development Block Grant Program (CDBG) by the end of the calendar year.” **Outcome:** Community Action Agency applied for CDBG funds and was awarded \$19,980. Fourteen families were assisted with these funds. Applying and receiving these funds also satisfies Recommendation 12 of the 10-Year Plan.
  
- Recommendation 13 – “...Work with the County Department of Mental Health (DMH) to target Assertive Community Treatment (ACT) services to chronically homeless persons using data that documents length of homelessness and disabling conditions. Currently, the Department is able to provide funding for 50 homeless persons with mental illness who are often chronically homeless and have other disabling conditions. A request will be for funding to serve an additional 10 persons during the three months after the implementation of the 10-Year Plan. **Outcome:** ACT services have been expanded by Mental Health to include an additional 10 persons.
  
- Recommendation 17 – Homeless Management Information System (HMIS) – Encourage all homeless service providers to participate in HMIS which is designed to record and store client level information concerning the characteristics and service needs of homeless persons. **Outcome:** Community Action Agency is the CoC’s HMIS administrator. CoC HUD grantees are required to enter data in HMIS. Currently, one non-HUD funded homeless service provider inputs in HMIS. Other non-HUD funded agencies are seeking further information to potentially participate in HMIS.
  
- Recommendation 18 - Street Count – **Outcome:** The 2013 street count took place on the morning of January 23<sup>rd</sup> 2013. The surveys will be conducted on January 25<sup>th</sup> 2013. The annual county-wide homeless count and survey identifies and enumerates various sub-populations such as veterans, chronically homeless persons, families, youth, ex-offenders and others. This data helps communities understand changes in trends among homeless populations, assess programs, justify requests for additional resources, comply with HUD and other stakeholders, raise awareness, and measure community progress toward preventing and ending homelessness. The final report was completed May 2013.
  
- Recommendation 19 - Cost Study – Engage UC Merced or other regional university to assist in the creation of a cost study concerning chronically homeless individuals in Merced County. **Outcome:** A Stanislaus State University student conducted a literature review during the fall 2012 semester. The literature review provided information on the methodologies that have been used to measure the cost of homelessness for a community and evaluated the best approach for Merced. A discussion was held on April 25<sup>th</sup> to discuss availability of data with public and private service providers. A proposal by CSU Stanislaus is expected in July 2013.

## **Objective E: Improvement of Quality and Quantity of Public Services**

### **Police - Graffiti Abatement**

The Housing Division funded a program through the Merced Police Department for graffiti prevention and abatement. The program partnered with various groups and schools to educate the public and students about the effects of graffiti and the associated crime involved. In many cases, the crimes are considered gang-related as law enforcement begins to classify “tagging crews” as gangs.

In an effort to reach a larger portion of the community, officers attended the Annual National Night Out event on August 14, 2012, held multiple Neighborhood Watch meetings throughout the City and used radio and television spots to discuss graffiti awareness. Local schools, neighborhoods and apartment complexes were visited to educate the attendees about gangs and graffiti. Photos were taken of graffiti in various neighborhoods to help identify the responsible person or gang. The pictures were also logged for future reference in the event of repeat offenses.

On December 15, 2012, the Merced Police Department worked side-by-side with students from Golden Valley High School for Graffiti Clean Up Day. Participants cleaned graffiti in high-visibility areas of South Merced. The targeted areas included a fence line along Highway 99, East Childs Avenue, South Canal Avenue, the Merced Sports Complex and the Flea Market area.

The Merced Police Department also held Gang Awareness Presentations, which included graffiti education, at ten local schools. They provided courses at UC Merced regarding local gangs and graffiti awareness. The officers also participated in three radio interviews and one television interview.

There were 41 graffiti related arrests from July 2012 to June 2013. Of the 41 arrests, three suspects were repeat offenders who had been arrested that year. A total of 17 arrests were for tagging crew graffiti.

### **Merced Lao Family - Community Aide Translation**

The Housing Division partially funded a Community Aide position in conjunction with the Merced Lao Family Community, Inc. and the Merced Police Department. The Aide provided information and referral services to Southeast Asian residents relating to a variety of issues from housing assistance programs to consumer education and translating legal issues.

The Community Aide provided translation support for a variety of members in the Asian community. Assistance included 333 Hmong translations, 29 Mien translations and 31 Lao translations. Because of the services offered, members of the public were able to participate in neighborhood issues, school functions, and Code Enforcement matters, which enabled them to enjoy a better quality of life and connect with their community. The Community Aide attended multiple community meetings and activities. He also assisted the Merced Police Department 18 times, answered 59 individuals' questions regarding Housing issues and provided translation and interpreter services on 31 separate occasions not associated with the items listed.

### **Merced Lao Family – Youth Program**

The Merced Lao Family Community received \$1,859 to provide for two programs aimed at primarily reaching Southeast Asian at-risk youth ages 10-18. The program revolves around two Southeast Asian cultural art forms, learning to play the Qeej, a traditional Hmong musical instrument, and learning to dance.



During FY 2012-13, 69 youth were registered with the program which featured 36 Qeej class sessions and 26 dance sessions. Youth participated in 12 public shows, 6 of which were Qeej and 6 of which were dance. Shows were throughout the Central Valley and included the Hmong New Year Celebration, Mental Health Awareness Day, community weddings and other such events. The majority of youth enrolled come from large households that meet CDBG low income standards.

### **Merced County Rescue Mission**

The Merced County Rescue Mission received \$3,500 to provide a warming center in the winter and wet weather months. The center was open during the months from December to March for a total of 118 days. The center provided warm place for 1,104 clients, 43 clients were repeats, there were a total of 579 men, 427 women, and 98 children served.

### **Potter's Place**

Potter's Place received \$9,500 to provide job training to individuals in the construction field. Some of the skills learn included landscaping, plumbing, and cement work. This program was successful in training 23 individuals with three of the individuals starting their own business.

### **Healthy House**

Healthy House received \$739 to provide senior citizens assistance with understanding medical forms, the community's transportation system, and setting up medical appointments. This program was successful in educating 32 senior citizens with chronic medical illnesses.

## **Merced Community Action Agency**

Merced Community Action Agency received \$19,762 to provide rental assistance to households that were behind on rent and would be evicted without assistance. This program was successful in assisting 16 households or 51 individuals from becoming homeless. Of the households that received assistance 15 households are current with their rent and utilities.

### **Objective F: Planning for Future Housing and Infrastructure Needs**

#### **Shaffer Building**

In November 2012, the Housing Division created a Request for Proposal (RFP) for a study to examine the feasibility of renovating and upgrading the Shaffer Building located at 557 W. Main Street and M Street in Merced. The cost of the study is \$20,000. The RFP was run in four different newspapers and posted on the City's website. Two responses were received by the deadline of December 21, 2012 and Moniz Architecture from Sacramento was chosen.

The study investigated the feasibility of converting a commercial building into a mixed-use facility in a downtown Merced location. Moniz Architecture was charged with determining the potential uses for all three floors of the building. The study provided a basis for tenant recruitment, identification of economically sustainable land uses, and it estimated user square footage demand. In addition, it explored the market trade zone of the property, the characteristics that lead to market demand, population growth trends and housing demand trends. Major development patterns, community facility locations and specific land use patterns of the downtown area were also analyzed.

According to the report, the demographics and real estate market of the Merced area is driven by the agricultural character of the Valley and the hot seasonal climate that makes the area well located for row crops and ranching. Its central location and driving distance to major attractions, including the Pacific coast, along with its low cost of living make it a desirable place to reside.

The report is being finalized and will aid the owner and the City in the development of the property. To date, Moniz Architecture has received \$16,755.00. The first payment was provided on April 19, 2013. The last payment will be issued upon receipt and approval of the final report.

#### **Cost Allocation Plan**

In July 2011, the Housing Division created a Request for Proposal (RFP) for professional services consisting of a citywide cost plan and a development services and housing cost allocation plan. Three proposals were received and MGT of America was chosen. The cost for the report was \$17,500.00.

The Cost Allocation Plan was to compare total allocated costs between fiscal years for every receiving department and to document unit costs of support activities. MGT met with Housing personnel to refine project objectives, establish the final project schedule and identify potential pitfalls. Staff provided source financial and operational data for review and MGT met with each City department to discuss their primary services and the recipients of the services. MGT then determined appropriate costs, including labor, for each service. The finalized report was submitted to the Housing Division in July 2013.

**G: Economic Development**

**Economic Development**

The Economic Development Department continues to build partnerships with commercial and industrial businesses. These partnerships are established by traveling to trade shows, advertising Merced’s development advantages, and giving tours of available sites within the City of Merced. Staff continues to seek out a grocery store development for South Merced. Economic Development Staff did provide a technical assistance grant for the Shaffer Building Market and Feasibility Plan.



**Table 6  
2012-13 Objectives and Outcomes  
NSP Funded Programs**

Neighborhood Stabilization (NSP) Funds – Objectives and Outcomes	2012-13 Expenditures	Number of Households Served
<b>Objective A: Housing, Rehabilitation, Reconstruction</b>		
<b>Neighborhood Stabilization Program (1)</b>		
Acquisition Costs	\$513,006	N/A
Rehabilitation Costs	\$184,125	9
Maintenance Costs	\$85,432	N/A
Gap Lending – First Time Home Buyer	\$58,309	9
<b>Administrative and Activity Delivery Costs</b>	\$220,237	N/A
<b>Neighborhood Stabilization Program (3)</b>		
Acquisition Costs	\$0	N/A
Rehabilitation Costs	\$146,944	3
Maintenance Costs	\$13,558	N/A
Gap Lending - First Time Home Buyer	\$8,950	3
<b>Administrative and Activity Delivery Costs</b>	\$167,073	3
<b>Objective B: New Affordable Housing Construction</b>		
Gateway Terrace Construction Loan	\$299,046	66
<b>Total NSP Funds Spent in FY 2012/13</b>	<b>\$1,696,680</b>	<b>78</b>
<b>NOTE: There are Only Two Objectives Met with NSP 1 and 3</b>		

**Objective A: Housing, Rehabilitation, Reconstruction**

The Housing Division purchased, rehabilitated, and resold foreclosed homes with funding from the Neighborhood Stabilization Program (NSP) 1 and 3. Allocation of these funds for this purpose allowed the use of CDBG and HOME funding for other programs within the Annual Plan. It should be noted that homes purchased with NSP funding must be foreclosed homes vacant at least 90 days.

In FY 12-13, \$1,061,109 was used in NSP 1 to rehabilitate, resale, and purchase four (4) homes to assist First Time Homebuyers acquiring foreclosed homes. Another \$336,525 was used from NSP 3 to rehabilitate and resale nine (9) homes to assist First Time Homebuyers. Of the homes purchased, two are currently being rehabilitated and the others will be rehabilitated in the order in which they were purchased.



A total of 7 first-time home buyers received gap funding loans with NSP 1 funding.

**Objective B: New Affordable Housing Construction**

A special allocation of \$1.3 million was used to help construct the Woodbridge multi-family unit apartment complex off of Highway 59 at Santa Fe. Funding from NSP 3 financed 10 of the 75 units that constructed.

Per NSP3 guidelines, staff was required to set-aside approximately 25% of the grant for households with incomes 50% or below average median income. Staff loaned \$299,046 to Central Valley Coalition for Affordable Housing to assist with the construction of Gateway Terrace multi-family unit apartment complex off of 4<sup>th</sup> Street and Leshner Drive. Funding from NSP 3 financed 2 of the 66 units that constructed.



**Table 7  
2012-13 Objectives and Outcomes  
CalHOME Funds**

CalHOME Funds – Objectives and Outcomes – Affordable Housing	2012-13 Expenditures	Number of Households Served
<b>Objective A: Housing, Rehabilitation, Reconstruction</b>		
Down Payment Assistance – First Time Home Buyer	\$7,475	1
Rehabilitation	\$24,521	2
<b>Total CalHome Allocations</b>	<b>\$31,996</b>	<b>3</b>
<b>NOTE: There is Only One Objective Met with CalHOME Funding</b>		

**Objective A: Housing, Rehabilitation, Reconstruction**

The City of Merced provided one (1) First Time Home Buyer down-payment assistance loan and two (2) low-interest rehabilitation loans to income eligible low-income households. According to CalHOME protocol, these loans are deferred for 30 years making this program very affordable for low income households.



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**2. *Describe the manner in which the recipient would change its program as a result of its experiences.***

With a new slate of personnel and leadership, the Housing Division met with HUD representatives, sent staff to CDBG and HOME trainings, looked at past practices, reviewed the current Annual Action Plan and determined changes were needed with some of the programs listed in the Consolidated and Annual Action Plans.

Funding to internal departments was streamlined and only those programs that meet the National Objective were put into place. This resulted in increased funds for local non-profit organizations to apply for Public Service funding. The Planning and Urban Development Departments were removed from the Action Plan. Meetings with Code Enforcement resulted in a plan with newly designated targeted income areas and a program to help reduce lead based paint. The Police Department program was revamped to concentrate on reduction of graffiti with outreach to local youth in low income targeted neighborhoods.

Reporting and time card practices were improved and a Cost Analysis Plan was completed which identified allowable expenditures and provided a true cost analysis for staff.

Internally, all practices have been looked at and revamped for more effective practices and in some areas, to align practices with HUD guidelines. Continued training has been budgeted and will continue as CDBG and HOME continue to update rules and regulations on a bi-annual basis.

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**3. *Affirmatively Furthering Fair Housing:***

**a. *Provide a summary of impediments to fair housing choice.***

In FY 2009, the city of Merced contracted with the non-profit firm of Project Sentinel of Palo Alto to update the Analysis of Impediments that was prepared by the firm in 2003. The following items were identified as impediments to fair housing:

-  Lack of an effective multi-lingual mortgage counseling program
-  Insufficient supply of affordable rental housing
-  Inadequate fair housing enforcement program

*b. Identify actions taken to overcome effects of impediments identified.*

**Lack of an effective multi-lingual mortgage counseling program**

While there had been a credit counseling program for families facing foreclosure offered at the Central Valley Coalition for Affordable Housing, the City's Fair Housing agency, budget cuts resulted in the elimination of that position in smaller communities.

While first time home buyers are provided a multi-lingual mortgage counseling workshop through the NSP and Cal HOME programs, there still remains the lack of a local mortgage counselor to address this impediment. Due to continued budget constraints, the City's only course of action at this time is to continue its effort to encourage mortgage counseling programs and local banks to have their mortgage counseling services available to the City's residents.

**Insufficient supply of affordable rental housing**

The City of Merced has identified providing affordable housing as a priority in the City's Housing Element. While the City is not a provider of affordable housing, it does assist developers with affordable housing funding. With combining Fiscal Year's 2011-12 and FY 2012-13 together, \$1.3 million of NSP 1 and \$300,000 in HOME funds were dedicated to the



Woodbridge Multi-Family Apartment complex near Highway 59 and Santa Fe Road. Constructed in phases, the complex includes 10 NSP units and 10 HOME units. This complex also includes an Energy Efficient Block Grant to provide solar to 55 of the units.

In using the same philosophy, staff combined Fiscal Years of funding resources for another large multi-family housing development. The City partnered with Central Valley Coalition for Affordable Housing and began to construct the Gateway Terrace Apartment development. This project is located between 4<sup>th</sup> and 5<sup>th</sup> Streets on T Street. The agreement allowed for \$1,109,766 in HOME funds to help pay for seven low income units; \$300,000 of Neighborhood Stabilization 3 funds to help pay for two units; and dedication of the \$90,234 (FY 2010 /11 & FY 2011/12) in Community Housing Development Organization (CHDO) funds to pay for one additional unit.

**4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.**

The City of Merced worked with the Merced County Association of Governments (MCAG) and the County of Merced to develop a Continuum of Care plan for the City and County of Merced. The plan discusses the extent of homelessness in Merced County, identifies and leverages available local resources, describes solutions, and ultimately renders area organizations eligible for federal Continuum of Care grant funding.

The City and County of Merced have taken a lead role in providing resources to the homeless in Merced by adopting the Continuum of Care Strategy to End Homelessness and by providing annual CDBG funds to support one staff member responsible for heading up the Continuum of Care through MCAG. Several positive activities spearheaded by the Continuum of Care can be found throughout this document.

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**5. Leveraging Resources**

**a. Identify progress in obtaining “other” public and private resources to address needs.**

The City is dedicated to working with developers of low and moderate income housing in order to leverage its funds and maintain an adequate supply of affordable housing. In fiscal 2012-13 the City worked with two private developers to complete two multi-family apartment complexes, Woodbridge Apartments and Gateway Terrace. Tax credits, CDBG, Neighborhood Stabilization and HOME funds were used to build 141 units which will house low to moderate income families as well as ten units at Gateway dedicated to homeless with mental health issues. Both developments were completed in the spring of 2013 with Woodbridge being 100% occupied and Gateway Terrace construction is almost completed (approx. 95%).

**b. How Federal resources from HUD leveraged other public and private resources.**

Funding is leveraged with money from cities throughout Merced County to provide a Continuum of Care plan for the City and County. The Continuum, operated by the Merced County Association of Governments, is the lead agency working with other organizations within Merced with the goal of helping, servicing, and eventually ending homelessness in the Merced County area. City funding helps pay for staff time who is responsible for assisting organizations with grant writing, providing a meeting place for board meetings and organizational meetings. This individual is considered a resource to all local service and non-profit entities in the community that provide assistance for homeless.

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Neighborhood Stabilization Program funding was combined with HOME dollars and private funds to pay for construction of the Woodbridge and Gateway Terrace multi-family apartment complexes.

*c. How matching requirements were satisfied.*

There were no programs requiring match funds in FY 2012-13.

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## Managing the Process

**1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.**

With new staffing in the housing division, training has been essential to bringing staff up to HUD requirements and ensuring programs are in compliance. Staff met with department heads that received funding in FY 2012-13, looked at current programs and procedures, and then identified programs and funding that meet the National Objectives. The 2013-14 Annual Action Plan contains new programs, local service providers and non-profit organizations, all of which meet the National Objective and are in compliance. Continued training, monitoring and revamping to meet compliance regulations is now a regular practice.

## Citizen Participation

1. **Provide a summary of citizen comments.** Staff made a concerted effort to reach out to the community for their comments and input about the HUD Annual Action Plan and CAPER for fiscal year 2012/2013. The public was noticed or offered hearings and meetings 24 times for various items. A total of 27 people attended three public meetings held in 2012 for the Annual Action Plan. Recommendations from the public included: housing for foster youth, single moms and homeless individuals. There were also suggestions for urgent care or 24-hour medical facilities on the south side of Merced and a permanent warming center and/or housing for the chronically homeless and mentally ill.



2. **In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures.**

*Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.*

*\*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.*

The availability of the FY 2012-13 CAPER and a request for comments was publicly noticed in the following ways:

- 1) Community Meetings at the Merced Civic Center August 29, 2013
- 2) Notices were posted at the Merced Civic Center September of 2013.
- 3) Public Notices were published in the Merced County Times and Merced Sun-Star.
- 4) Public Notice was uploaded for public viewing on the City's Housing Division website.

A Public Hearing was held before the City Council on September 16, 2013. Additional information requested is attached to the CAPER as Exhibit G.

#### PUBLIC NOTICES

September 3, 2011 – Merced Sun Star - City of Merced Financial Assistance for Gateway Terrace Apartments

September 8, 2011 – Merced County Times – City of Merced Financial Assistance for Gateway Terrace Apartments

January 31, 2012 – Merced County Times - CDBG & HOME Funding Process for FY 12/13

February 23, 2012 – Merced County Times - CDBG & HOME Funding Process for FY 12/13

February 25, 2012 – Merced Sun Star - CDBG & HOME Funding Process for FY 12/13

March 3, 2012 – Merced Sun Star – Newspaper ad for HUD Annual Plan meeting for FY 12/13

January 31, 2013 – Merced County Times – CDBG & HOME Funding Process for FY 13/14

February 2, 2013 – Merced Sun Star – HUD Annual Plan ad for FY 13/14

May 22, 2013 – Merced Sun Star – Applications being received for Public Services Funding

May 23, 2013 – Merced County Times – Application being received for Public Services Funding

August 16, 2013 – Merced Sun Star – CAPER notice for FY 12/13

August 22, 2013 – Merced County Times – CAPER notice for FY 12/13

### PUBLIC HEARINGS

October 3, 2011 – Council Meeting – First Substantial Amendments to FY 09/10, 10/11, 11/12 HUD Action Plans

April 16, 2012 – Council Meeting – 2012 HUD Annual Action Plan hearing

May 7, 2012 – Council Meeting – Continued Public Hearing for adoption of 2012 HUD Annual Action Plan

November 5, 2012 – Council Meeting – CAPER 2011/2012 hearing

May 6, 2013 – Council Meeting – HUD Annual Action Plan 2013 hearing

June 17, 2013 – Council Meeting – Approval of First Substantial Amendment to 2012 HUD Annual Action Plan

### COMMUNITY MEETINGS

March 7, 2012 – Sam Pipes Room – Annual Action Plan FY 12/13

March 8, 2012 – Stephen Leonard Hall – Annual Action Plan FY 12/13

March 9, 2012 – Sam Pipes Room – Annual Action Plan FY 12/13

March 6, 2013 – Stephen Leonard Hall – Annual Action Plan FY 13/14

March 7, 2013 – Sam Pipes – Annual Action Plan FY 13/14

## **Institutional Structure**

### ***1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.***

With the Housing Division staff being relatively new, Housing's top priority remains to create an efficient department, reassess policies and procedures, train staff on various programs, and improve working relationships with community members and grant representatives.

## **Monitoring**

### ***1. Describe how and the frequency with which you monitored your activities.***

Staff was able visit all the public service activities once during the fiscal year. All programs were reviewed and program staff was interviewed to ensure program compliance. This review included a look at reporting, financial reporting, and determination if the activity met its goals and objectives.



Staff made multiple visits to the Gateway Terrace and Woodbridge housing projects during construction. Additionally, staff visited The Grove as well as all houses being purchased, rehabbed or sold. New policies were set into place for monitoring housing stock and decisions made when rehabilitation is necessary.

### ***2. Describe the results of your monitoring including any improvements.***

Monitoring of activities revealed some activities need for more on-site visitation and improved reporting procedures. For some activities, their programs only function on the weekends, which staff accommodated and monitored them when possible.

Policy changes include on-site visits with monitoring reporting sheets. Monitoring will be done for every activity at least once per year, every house at least five times during possession by the city, and housing projects at least once per year.

## Self Evaluation

*a. Describe the effect programs have in solving neighborhood and community problems.*

The Lao Family Community program for youth has been successful in keeping 69 plus youth away from gang activity. Classes on a regular basis requiring dedication and practice, has proven effective in providing “other” alternatives to negative behavior. This program includes cultural training with historical information regarding the dance and music. With a large Asian population in Merced, this program has effectively assisted youth in finding a positive alternative to gang involvement.

The Merced County Rescue Mission – Warming Center program was successful in providing 1,104 individuals with warm place to stay during Merced’s cold winter months. This program provided shelter to men, women, children and families that required a shelter. Many of the individuals would use the on-site facilities to clean-up, participate in the programs offered, and receive for assistance. One of the challenges staff had to deal with was ensuring the children were provided a separate place to sleep (away from the adults) and their health and safety was not compromised during the day while they were on the streets.

The Merced County Community Action Agency - Rapid Re-housing program was successful in helping 16 households or 51 individuals avoid homelessness. This program provided 3-months of rental assistance to families that were endangered of becoming homeless. The household size varied and many had children or were veterans. Of the households that received assistance, 15 of the 16 families are current on their rent and utilities.

The Potter’s Place – Hand’s Up program was successful in training 23 individuals with various job skills. Most of these skills were in the construction trade and involved landscaping, light carpentry, plumbing, and cement work. This program was successful for not only did they train these individuals, but three of them were able to learn enough to start their own business.



The Healthy House - Ethnic Elders program for senior citizens was successful in helping over 32 elders with chronic illnesses with transportation assistance and education. The program provided classes on a regular basis providing interpreters for individuals that needed assistance with filling out medical forms and understanding the transportation system. With a large Asian population in Merced, this program has effectively assisted elders with chronic illnesses.

There were three programs that were unable to move forward this past year. The Homelessness Study from MCAG was still in the process of gathering information for the study and hopes to start in the 2013/14 fiscal year. Sierra Saving Grace was not able to secure their non-profit status before the end of the fiscal year and staff was required to cancel their agreement. After the contract had been executed, Calvary Temple determined they did not have adequate staff or resources to properly run the program. Even though these programs may have been unsuccessful, staff was able to take the lessons learned from their challenges and apply them to this year's application process.

The Housing Division partially funded a Community Aide position in conjunction with the Merced Lao Family Community, Inc. and the Merced Police Department. The Aide provided information and referral services to Southeast Asian residents relating to a variety of issues from housing assistance programs to consumer education. He also assisted with translating legal issues when needed. The Community Aide assisted the Merced Police Department 18 times, answered 59 individuals' questions regarding Housing issues and provided translation and interpreter services on 31 separate occasions.

The Code Enforcement program was effective in reaching the community about health and safety issues pertaining to their homes, helping to promote lead based paint education to the community at community events and while making home visits, and helping to promote healthier neighborhoods with various programs, informational pamphlets and brochures, as well as one on one visits.

***b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.***

Meeting with the public in FY 2012-13 was essential in working toward meeting the community's vision for Merced. Staff met with the public to ask what could be done to further meet those goals and objectives outlined in the Consolidated and Annual Action Plans. Revamping of policies and procedures, better outreach to organizations seeking funding for activities, and ensuring all funding sources went to programs and activities that meet National Objectives was crucial in helping to meet priority needs. More than 13 organizations applied for funding for FY 2012-13 and eight were selected for funding. Following those goals of the Consolidated Plan these activities and programs align with specific objectives designed to help make the community's vision of the future a reality.

*c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.*



HOME, CDBG, Cal-HOME and Neighborhood Stabilization funds were primarily used to purchase and rehab foreclosed single-family units within the community or assist with low interest loans to families with homes in need of rehabilitation. All buyers or owners of these properties are qualified low or moderate-income persons. A total of four (4) homes were purchased through the NSP program for resale to qualified families; two (2) loans for housing rehabbed were provided using CalHome dollars; seven (7) properties were had lead-based paint contained with NSP funds; eleven (11) First Time Home Buyers were provided financial assistance to purchase a house with either Cal-HOME or NSP funds.

The City provided \$3 million to multi-family projects that will provide 141 low and moderate-income units to families in Merced over the past two years. The recently completed Woodbridge Apartment Complex has 75 units and the Gateway Terrace Apartment Complex is near completion with 66 units.

Funding of for the two multi-family projects and the rehabilitation of the smaller housing projects provided employment for more than 40 contractors and construction workers as well as a minimum of 12 jobs for those working in the termite inspection, appraisal alarm, and lawn care industries. Several more jobs were created with construction of the Woodbridge and Gateway Terrace Apartment complexes.

Staff worked with retail brokers, leasing agents, and retailers in order to support and build constructive relationships. Marketing efforts resulted in contacts with more than 10 businesses in FY 2011/2012 and 2012/2013 and has generated an Oregon based food processing company, Cascade White Oak Frozen Specialties, Inc. The company will establish a new food processing plant and process locally grown vegetables and fruits. They employ 30 full time employees and up to 100 seasonal workers.

***d. Indicate any activities falling behind schedule.***

Initially, the Gateway Terrace project was behind schedule, but once construction started, the development is still under construction and expected to be completed before the Fall of FY 2013. Some of the NSP rehabilitation activities scheduled to be completed this fiscal year were placed on hold due to limited staff resources and a larger than average inventory of houses. However, staff hopes to have all the NSP properties rehabilitated before the end of the next fiscal year.

***e. Describe how activities and strategies made an impact on identified needs.***

The Housing Division continues to educate staff with development of activities and strategies, national objectives, allowable activities, processes and policies. Some of the many way staff is being trained is by reading of the HUD, CDBG, City manuals, various courses, and meeting with staff. These efforts have made a huge impact on moving the City's programs forward. More community meetings were held to gain input and educate the public about programs and allowable activities. Screening and reporting documents were revamped and implemented. As a reflection of having more community meetings, staff provided financial assistance to numerous organizations in the community. Outreach to banks, contractors, realtors, and the building exchange also helped streamline Housing rehab and resell procedures.

***f. Identify indicators that would best describe the results.***

The 2012-13 Annual Plan contained only programs and activities that meet the National Objectives. However this year, funding was programmed and provided assistance to 8 sub-grantees for various services including rapid re-housing, warming shelter for the homeless, and job training. In addition, fund were budgeted for internal programs which addressed the Consolidated Plans priority areas, homelessness, economic development, and lead based paint abatement.

The City's Housing Division Policy Manual is also an indicator of success as policies now contain language addressing lead base paint, termite inspection, monitoring, reporting, financial reporting, and processes for creation of the Annual Plan and CAPER.

***g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.***

Because our housing staff is still a new team and we continue to experience a loss of revenue year after year for housing projects. That said, staff has moved forward to meet the challenge and has worked to educate sub-grantees, identify challenge areas, and continue to improve upon an outdated process to create an efficient, effective and meets National Objectives.

- h. Identify whether major goals are on target and discuss reasons for those that are not on target.*

Staff continued to execute identified goals and ensure they were on target in the FY 2012-13. All major goals are on target and will continue to be undertaken in FY's 2013-14 and 2014-15.

- i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.*

Staff continues to identify strategies and activities that will mean improvement in the Division. Working with Neighborhood Stabilization Technical Assistants has been beneficial in understanding and improving the NSP programs and, in turn, implementing those changes in CDBG, HOME and Cal-HOME programs. It is the belief of this Division that continual assessment is crucial to a successful program and activity oversight.

#### **Lead-based Paint**

- 1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.*

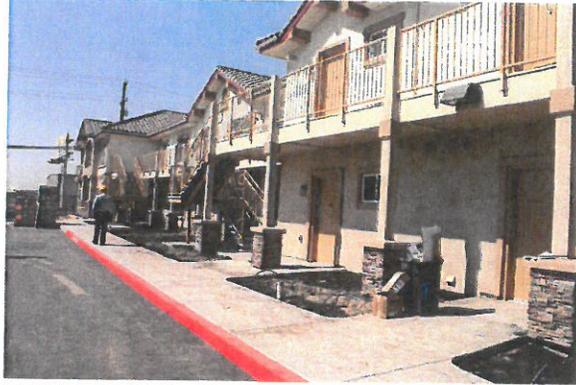
Staff continues to improve lead-based paint procedures, working with internal and external experts in the field, and continue to ensure resold NSP and CDBG rehabilitated properties are lead-based paint free. In working with Code Enforcement Staff, the City provided outreach to the community with Educational brochures and an outreach plan were put into place for FY 2012-13. Staff members made two radio announcements in FY 2012-13 to help educate the public about lead-based paint.

## HOUSING

### Housing Needs

#### *1. Describe Actions taken during the last year to foster and maintain affordable housing.*

The City continues to promote and maintain affordable housing through the following programs:



- Neighborhood Stabilization Program 1 & 3 (Federal and State): During this reporting period, 4 homes were acquired, 8 rehabilitated and the 12 resold to households as follows:
  - Below 50% AMI      3 Households
  - 51% - 80% AMI      5 Households
  - 81% - 120 AMI      4 Households
  
- CDBG – Homeowner Rehabilitation: During this reporting period, 1 house was rehabilitated to households as follows:
  - Below 50% AMI      1 Household

- Woodbridge Apartments – This development completed construction in spring of 2013. The development constructed 75-Units and is 100% occupied. City Housing provided \$1,300,000 of NSP and \$300,000 of HOME funds to assist with construction of the project.



- Gateway Terrace Apartments –This development is under construction and is anticipated to be completed before the fall of 2013. The development constructed 66-Units. City Housing provided \$1,200,000 of HOME and \$300,000 of NSP funds to assist with construction of the project.
  
- The Grove Apartments - In 2004, the City provided a Section 108 Loan to assist with the development of 204 affordable units. The development was completed and continues to provide affordable units to qualified households. The City is working with the Designated Local Authority to assume the payments and clear the Section 108 Loan from the City's loan portfolio.

- Additionally, the City assists low – income homeowners and First Time Homebuyers with a non CDBG funding source. The CALHome 06 Grant is with the California Department of Housing and Community Development. During this reporting period, one (1) home was rehabilitated for households that was below 80% AMI.

### **Specific Housing Objectives**

- 1. Evaluate progress in meeting specific objectives of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.***

The City of Merced continues making progress toward meeting the goals and objectives of the Consolidated Plan and Action Plan. Using the Housing Element and tracking purchases of homes through the NSP program, staff worked to ensure housing needs in extremely low, low and moderate-income areas are identified and steps taken to create or identify supply. CalHOME funds are used for extremely low-income applicants due to the 30-year loan deferral criteria. NSP meets the needs of moderate-income and low income families. In addition, new multi-family housing projects provide housing for families in each of the three income areas.

- 2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.***

The City of Merced provides homebuyers the opportunity to acquire a home through the NSP and CALHome programs; provide homeowners the ability to rehabilitate their homes through CDBG and CALHome programs; and provides renters with new affordable housing opportunities through the CDBG, HOME and NSP programs. Participants in the NSP and CALHome programs were able to obtain a second mortgage with underwriting guidelines as outlined in the City’s First Time Homebuyer Program, which meets Section 215 definition of affordable housing.

- 3. Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.***

Worst-Case housing needs are defined as low-income renter households who pay more than half their income for rent, live in seriously substandard housing (including homeless people) or have been involuntarily displaced.

The City continues to review and analyze how it can better meet the needs of the underserved and address “worst case” housing needs through its affordable housing program, supportive services, Continuum of Care and the Fair Housing services offered through Central Valley Coalition for Affordable Housing.

Loans to landlords to improve housing for those families living in seriously substandard housing are available with CDBG funding. The Code Enforcement Program provides an on-the-street look at substandard housing and provides contact to the Housing Division with owners and/or landlords that might be interested in this type of rehab program.

### **Public Housing Strategy**

Not Applicable to the City of Merced

### **HOME/ American Dream Down Payment Initiative (ADDI)**

**Note:** American Dream Down Payment Initiative is not part of the City of Merced Housing Division's Programs.

#### ***1. Assessment of Relationship of HOME Funds to Goals and Objectives***

- a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.***

In the 2012 Annual Plan, the City set a goal of serving 10 households with CDBG and HOME funds for rehabilitation. As shown in table 2 we were able to provide two (2) Rehabilitation loans using CalHome funding sources. This number did not meet our goal by eight (8) loans.

#### ***2. HOME Match Report***

- a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.***

HOME Match Report attached to the CAPER as Exhibit D

It should be noted, the City of Merced is a designated fiscal distress community and not required to provide a match for HOME funding. The match balance showing on the HOME report, of \$25,396,716 is carry-over from FY 1996-2005. These are not actual dollars, rather non-federal funds spent on local housing and/or public structure projects that can be shown match funds.

#### ***3. HOME MBE and WBE Report***

- a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).***

MBE and WBE Report attached to the CAPER as part of the Annual Performance Report as Exhibit C.

#### 4. Assessments

- a. *Detail results of on-site inspections of rental housing.*
- b. *Describe the HOME jurisdiction's affirmative marketing actions.*
- c. *Describe outreach to minority and women owned businesses.*

##### **On-Site Inspections of Rentals**

An official inspection was made of the Grove multi-family apartment complex by the Development Manager and Housing Program Specialist. Observations were made and noted with plans to return in December, 2013.

##### **Affirmative Marketing**

The City of Merced continues to follow the Affirmative Marketing Policy as outlined in the Consolidated Plan and monitors compliance with the Policy by the City and participating property owners on an annual basis.

##### **Outreach to Women and Minority Owned Businesses (HOME Jurisdictions)**

The City also follows its Minority/Women's Business Enterprise Policy and Procedures as outlined in the Consolidated Plan and maintains records of participation as required by HUD on a semi-annual basis.

## HOMELESS

### Homeless Needs

#### *1. Identify actions taken to address needs of homeless persons.*

##### **Funding to Continuum of Care**

The City of Merced has used CDBG funding to pay for half of the salary of a Merced County Association of Governments (MCAG) staff member charged with overseeing the recently formed Continuum of Care. This



Continuum is a venture between cities within Merced County, the County of Merced, and local agencies serving homeless in the County.

This staff member is responsible for several activities overseen by the Continuum including facilitation of meetings, assisting with homeless counts, providing resource information to local service and non-profit organizations serving the homeless, and writing grants to ensure funding for those programs assisting the homeless population.

Below is an overview of actions by the Continuum last year as well as other entities the City works with to assist the homeless population.

The 10-Year Plan was approved by the MCAG Governing Board in May 2011. The Implementation Team (10-Year Plan Recommendation 20) was appointed by the MCAG Governing Board in September 2011. May 2013 marked the second anniversary of the 10-Year Plan. Goals to date:

- Recommendation 1 - Adopt a “Housing First Model/Rapid Re-housing Approach” and begin implementing it immediately. **Outcome:** Model/Approach was adopted immediately.
- Recommendation 2 – Obtain Shelter Plus Care Certificates. **Outcome:** The Housing Authority of Merced County is currently administering eight vouchers. Along with permanent housing, clients are receiving supportive services from Mental Health.
- Recommendation 3 – Create Permanent Supportive Housing Units. **Outcome:** Merced County Mental Health opened Project Hope Westside. The program provides 10, dually diagnosed, chronically homeless mental health clients housing, basic needs and individualized intensive services as clinically appropriate in Los Banos. As of June 6, 2013, eight persons are in the program.
- Recommendation 4 - Obtain HUD Veterans Affairs Supportive Housing (VASH) Vouchers. **Outcome:** Housing Authority of the County of Merced received 25 HUD-VASH Vouchers – HUD-VASH Vouchers will support 25 veterans in finding and maintaining permanent housing. HUD provides a Housing Choice Section 8 Voucher and VA provides case management and support for veterans transitioning from homelessness to permanent housing. Currently, 23 veterans are housed, one veteran has a voucher and is searching for housing, and one veteran is pending eligibility for a voucher. The Veterans Administration has a local Licensed Clinical Social Worker who provides the case management and works at the Veterans Community Based Outpatient Clinic located at 340 E. Yosemite Avenue in Merced.
- Recommendation 8 – “...Funding to increase homelessness prevention resources will also be the focus of a funding request by the Community Action Agency to the City of Merced Community Development Block Grant Program (CDBG) by the end of the calendar year.” **Outcome:** Community Action Agency applied for CDBG funds and was awarded \$19,980. Fourteen families were assisted with these funds. Applying and receiving these funds also satisfies Recommendation 12 of the 10-Year Plan.

- Recommendation 13 – “...Work with the County Department of Mental Health (DMH) to target Assertive Community Treatment (ACT) services to chronically homeless persons using data that documents length of homelessness and disabling conditions. Currently, the Department is able to provide funding for 50 homeless persons with mental illness who are often chronically homeless and have other disabling conditions. A request will be for funding to serve an additional 10 persons during the three months after the implementation of the 10-Year Plan. **Outcome:** ACT services have been expanded by Mental Health to include an additional 10 persons.
  
- Recommendation 17 – Homeless Management Information System (HMIS) – Encourage all homeless service providers to participate in HMIS which is designed to record and store client level information concerning the characteristics and service needs of homeless persons. **Outcome:** Community Action Agency is the CoC’s HMIS administrator. CoC HUD grantees are required to enter data in HMIS. Currently, one non-HUD funded homeless service provider inputs in HMIS. Other non-HUD funded agencies are seeking further information to potentially participate in HMIS.
  
- Recommendation 18 - Street Count – **Outcome:** The 2013 street count took place on the morning of January 23<sup>rd</sup> 2013. The surveys will be conducted on January 25<sup>th</sup> 2013. The annual county-wide homeless count and survey identifies and enumerates various sub-populations such as veterans, chronically homeless persons, families, youth, ex-offenders and others. This data helps communities understand changes in trends among homeless populations, assess programs, justify requests for additional resources, comply with HUD and other stakeholders, raise awareness, and measure community progress toward preventing and ending homelessness. The final report was completed May 2013.
  
- Recommendation 19 - Cost Study – Engage UC Merced or other regional university to assist in the creation of a cost study concerning chronically homeless individuals in Merced County. **Outcome:** A Stanislaus State University student conducted a literature review during the fall 2012 semester. The literature review provided information on the methodologies that have been used to measure the cost of homelessness for a community and evaluated the best approach for Merced. A discussion was held on April 25<sup>th</sup> to discuss availability of data with public and private service providers. A proposal by CSU Stanislaus is expected in July 2013. Funding for the cost study activities have been granted from the City of Merced’s CDBG funds as well as from the Human Services Agency.

Since 2003, the Continuum of Care has achieved several noteworthy accomplishments.

- The 3<sup>rd</sup> homeless connect was held June 2013 with approximately 235-250 homeless individuals served.
- Performed Annual homeless street counts since 2004.
- Mental Health Assertive Community Treatment expanded by 10 persons.
- Homeless Prevention and Rapid Re-housing monies were successfully expended by CoC participants.

Additionally this year, the Continuum of Care staff successfully wrote and distributed grants to help fund local agencies serving the homeless population:

- Turning Point Community Programs - \$136,000. Provide four, chronically homeless adults including transitioning age youth and mental health clients housing, basic needs and individualized intensive services as clinically appropriate.
- Mental Health – Serenity Springs - \$194,000. Provide permanent supportive housing to eight dually diagnosed, chronically homeless mental health clients who will receive case management and individualized intensive services as clinically appropriate.
- Community Action Agency - Homeless Management Information Systems (HMIS) - \$63,706. Expansion of current HMIS system to increase staff time, HMIS functionality, and number of HMIS participating agencies and/or programs
- Merced County Association of Governments – CoC Planning Costs - \$8,060. Funding for staff time to expand scope of work to ensure projects awarded under the CoC and Emergency Solutions Grant are meeting performance measures outlined by HUD as well as measures established by the CoC and the Merced Region’s 10-Year Plan to End Homelessness.

#### **Central Valley Coalition for Affordable Housing**

In 2012/13, the Central Valley Coalition for Affordable Housing (CVCAH) constructed a 66 Unit Multi-Family Residential Development using a combination of HOME and Neighborhood Stabilization Program funds. Additionally, Merced County Housing Authority partnered with CVCAH for 10 of the 66 units to be used as Transitional Housing.

#### **Merced County Rescue Mission**

The City of Merced partnered with the Merced County Rescue Mission for a Warming Center to be centrally located within the community. The warming center was to be made available to all individuals if weather required.

**2. *Identify actions to help homeless persons make the transition to permanent housing and independent living.***

Each of the HUD Grantees strives to meet the national objectives of transitioning clients into permanent housing and assisting participants in obtaining employment. The Continuum of Care (COC) works with various community stakeholders to provide services to homeless individuals. In addition, the annual Project Homeless Connect event is an opportunity to connect clients with housing and employment resources, and for service providers to connect with other resources in the community.

**3. *Identify new Federal resources obtained from Homeless SuperNOFA.***

Not Applicable to the City of Merced

**Specific Homeless Prevention Elements**

**1. *Identify actions taken to prevent homelessness.***

The City continues a strong partnership with the Continuum of Care (CoC). Recently, the CoC worked with HUD to obtain 25 HUD-VASH Vouchers. Additionally, the staff person has work diligently to coordinate the City and other agencies' homeless services into a centralized area which can be found at <http://www.mcagov.org/coc.html>.

**Emergency Shelter Grants (ESG)**

The City of Merced is not an Emergency Solutions Grant (ESG) recipient/Grantee. However, the Community Action Agency, an agency in the City of Merced, did apply for funding to continue with the operation of the Emergency Shelter, which is open year round.

Not Applicable to the City of Merced

**COMMUNITY DEVELOPMENT**

**Community Development**

**1. *Assessment of Relationship of CDBG Funds to Goals and Objectives***

- a. *Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.***

All CDBG funds were used to benefit very low, low, and moderate- income persons or households. The City of Merced spent 100 percent of its CDBG funds to benefit low and moderate – income individuals (minimum 70 percent required).

Housing for low to moderate income families was a major priority in the Consolidated Plan and one that was addressed successfully in FY 2012-13. With more than 141 apartment units completed, City Council has ensured families a place to rent within the City of Merced.



Both of the two multi-family units were completed before the end of July 2013.

- b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.***
- Providing More Affordable Housing for the Community:
    - Gateway Terrace Apartment Complex started construction in the fall of 2012. This complex is a 66-Unit development for Low and Very Low Income households. This development an additional ten of which will be for transitional housing.
  - Assist in Providing Housing for Individuals with Special Needs:
    - All 66 – units that were constructed in the Gateway Terrace development are ADA compliant and can accommodate individuals with various disabilities.
    - All 75 – units that were constructed in the Woodbridge development are ADA compliant and can accommodate individuals with various disabilities.
    - All the residential units in the Grove Apartments complex were constructed with features that allow these units to be easily retrofitted to accommodate individuals with special needs.
  - Increase Homeownership Opportunities for Low-Income Households:
    - Through the Neighborhood Stabilization Programs, the City was able to purchase four (4) foreclosed homes, rehabilitated eight (8), and resold nine (9) of them to qualified first time home buyers. With the CalHome through the California Department of Housing and Community Development, the City was able to provide 30-year deferred loans to qualified first time homebuyers for the purchase of any home located within the City of Merced.
  - Revitalize Low-Income Neighborhoods:
    - By purchasing foreclosed homes in various designated census tracts with Neighborhood Stabilization Program funds, the City was able to purchase some of the most dilapidated homes on the block, rehabilitate them and then resell them. This has allowed the City to slowly revitalize various neighborhoods within our community.

- Continue Efforts to End Homelessness:
  - The City of Merced continues to partner with Merced County Association of Governments (MCAG) to implement the 10-year plan to end chronic homelessness. The City has been working with MCAG for the past four years implementing this plan. MCAG has been making strides with implementation of this plan and with centralizing multiple non-profits and government agencies with coordinating their efforts and reducing duplication of services.
  
- c. *Indicate the extent to which CDBG funds were used for activities that benefited extremely- low-income, low-income, and moderate-income persons.*

The use of CDBG or HOME funds during the 2012/13 program year identified programs to achieve specific goals as identified in the 5-Year Consolidated Plan. These include:

The City continues to work with various City Departments and developers to ensure there is sufficient supply of affordable housing opportunities within the community. With the completion of the Woodbridge and 95% completed Gateway Terrace Apartment Complexes, 141 low and very low income units are now available for qualified families. New this year, the City of Merced worked with various non-profit organizations to provide funding for various programs.

CDBG provided loans to low and very low income homeowners to rehab their homes for health and safety or lead based paint problems can be crucial. Through the NSP and CalHOME 06 programs, staff was able to ensure nine (9) properties that were sold to First Time Home Buyers were clear of lead based paint.

Families in need of these low interest loans find our Housing Staff are very easy to work with. With these loans the City assists families in finding remedies for water and sewage problems, roofing, heating and air conditioning units, termite problems and/or lead based paint problems.

## **2. Changes in Program Objectives**

- a. *Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.*

The City of Merced has not changed previous CDBG programs for the City of Merced continues to use CDBG funds to provide affordable housing, safe suitable living environments and public improvements primarily for low to moderate-income families. However, new this year, the City of Merced funded two (2) Economic Development activities and worked with seven (7) non-profits to accomplish economic opportunity and suitable living environment outcomes. There is always an on-going need for CDBG funds to fulfill the objectives and needs of the community.

**3. *Assessment of Efforts in Carrying Out Planned Actions***

***a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.***

The City of Merced pursued all potential resources as indicated in the Consolidated Plan by working with developers, non-profits and other agencies to leverage a variety of funds for the construction and rehabilitation of affordable housing projects and programs, opportunities for low and moderate-income people to become homeowners, assistance with rehabilitation, and through neighborhood preservation services.

***b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.***

The City of Merced considers all requests for assistance submitted in writing. Requests are evaluated and taken into consideration within the guidelines of the Consolidated Plan. The City certifies that it is administering the CDBG/HOME program in compliance with its Consolidated Plan and rules, regulations and certifications required by HUD of its grantees.

***c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.***

No actions were taken to hinder the implementation of the Consolidated Plan.

**4. *For Funds Not Used for National Objectives***

***a. Indicate how use of CDBG funds did not meet national objectives.***

All CDBG funded activities met the national objectives. Housing staff worked with HUD Representatives to ensure all funded activities made necessary adjustments to ensure compliance with HUD National Objectives, Rules, and Guidelines.

***b. Indicate how they did not comply with overall benefit certification.***

Not Applicable to the City of Merced

**5. *Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property***

- a. *Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.***

While the Neighborhood Stabilization Program (NSP) activity is used to acquire, rehabilitate and resell foreclosed single-family homes and construction of new multi-family residential units, the City evaluates the vacancy of each property and follows HUD regulations in relation to relocation policies. No families utilized the CDBG Relocation House this past year.

To assist with reduction of displacement with new purchases, lead based paint and termite inspections are ordered during the escrow process. Abatement is part of the work write-up with completion part of the requirement for future occupancy. During rehab projects, contractors work with Housing Division staff and families to try and abate lead based paint and/or termite areas within the housing unit were feasible.

- b. *Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.***

Not Applicable to the City of Merced

- c. *Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.***

Not Applicable to the City of Merced

**6. *Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons***

Not Applicable to the City of Merced

**7. *Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit***

Not Applicable to the City of Merced

**8. Program income received**

- a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.**

The City does not have a revolving loan fund.

- b. Detail the amount repaid on each float-funded activity.**

The City does not have any float-funded activities.

- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.**

The City received \$234,936 in CDBG Program Income and an additional \$95,289 in HOME Program Income for a grand total of \$330,225. In addition the City received \$0 in reimbursement funding from The Grove Apartment Complex for the HUD 108 Loan. The City had budgeted \$321,860 for payment of the loan, per an agreement signed prior to construction of the complex. The City paid \$263,260 of the FY 2012/13 HUD 108 Loan.

- d. Detail the amount of income received from the sale of property by parcel.**

**NSP1/CDBG Property Sales**

- The City received program income from the resale of numerous parcels managed within the State-assisted Neighborhood Stabilization Program. The City sold nine (9) homes that were funded by NSP providing \$935,099 in program income.

- 9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:**

Not Applicable to the City of Merced

**10. Loans and other receivables**

- a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.**

Not Applicable to the City of Merced

*b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.*

LOAN PORTFOLIO						
AS OF JUNE 30, 2013						
	AMORTIZED	# OF LOANS	DEFERRED	# OF LOANS	TOTALS	TOTALS
	AMOUNT		AMOUNT		\$	#
HOUSING REHABILITATION (INCLUDES OWNER- OCCUPIED & RENTAL UNITS)	\$2,425,084	129	\$12,934,437	171	\$15,359,521	300
HOMEBUYER ASSISTANCE	\$340,603	77	\$551,105	46	\$891,708	123
<b>TOTALS:</b>	<b>\$2,765,687</b>	<b>206</b>	<b>\$13,485,542</b>	<b>217</b>	<b>\$16,251,229</b>	<b>423</b>

- c. *List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.*

<b>DEFERRED HOUSING LOAN BALANCES</b>		
	<b>DEFERRED AMOUNT</b>	<b>NUMBER OF LOANS</b>
<b>HOUSING REHABILITATION</b>		
CDBG *	\$4,256,920	88
HOME *	\$8,265,245	76
NSP3*	\$299,046	1
CALHOME - REHAB (deferred for 30 years)	\$113,226	6
<b>TOTAL REHAB DEFERRED:</b>	<b>\$12,934,437</b>	<b>171</b>
<b>HOMEBUYER ASSISTANCE</b>		
HOME - FTHB (deferred for 5 years)	\$117,285	9
CALHOME - FTHB (deferred for 30 years)	\$254,527	15
NSP1 - FTHB (deferred for 5 years)	\$171,419	21
NSP3 – FTHB	\$7,874	1
<b>TOTAL FTHB DEFERRED:</b>	<b>\$551,105</b>	<b>46</b>
<p>* Housing rehabilitation loan payments can be deferred if the current housing expenses exceed 30% of the gross income. When a rehabilitation loan is deferred, the financial situation of the owner is re-examined every 3 - 5 years to determine continuing eligibility for payment deferral. Deferred loans will only accrue interest for the first 10 years.</p>		

Loan terms vary from 10-55 years for repayment or until the property is sold or other circumstances occur. Loans are required to be paid in full as well if the borrower rents the property, when in fact it should be owner occupied. The City will work with borrowers struggling to make payments through loan modifications.

- d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.*

The City had four (4) CDBG and/or HOME funded homes go into default this past year for a total amount of \$48,777 being forgiven.

- e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.*

721 W. 4<sup>th</sup> Street: The home was listed for \$79,900.00. Staff received seven offers to consider.

### *11. Lump sum agreements*

Not Applicable to the City of Merced

### *12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year*

- a. Identify the type of program and number of projects/units completed for each program.*

The City provided no CDBG rehabilitation loans this fiscal year.

- b. Provide the total CDBG funds involved in the program.*

The City provided \$9,900 was provided for lead based paint abatement. The amount was used for lead testing and abatement in ten (10) properties.

- c. Detail other public and private funds involved in the project.*

Neighborhood Stabilization Funds (NSP) enabled the City to rehabilitate eight (8) previously foreclosed homes. Of all the NSP homes that were rehabilitated, nine (9) were sold, none are currently on the market for sale and two (2) have renovations that will be completed shortly.

Additionally, the City provided \$1,300,000 in NSP and \$300,000 in HOME Funds to assist with construction of the Woodbridge Apartment Development. The Woodbridge Apartment Development is a 75-Unit development that was funded with a mixture of Federal and State Funding Sources. The ten (10) units that were funded with NSP funds will be available for income eligible households. The three (3) units that were funded with HOME funds will be available for income eligible households in the winter of 2012.

The City provided \$300,000 in NSP and \$1,200,000 in HOME Funds to assist with construction of the Gateway Terrace Apartment Development. The Gateway Terrace Apartment Development is a 66-Unit development that was funded with a mixture of Federal and State Funding Sources. The 2 units that were funded with NSP funds will be available for income eligible households. The seven (7) units that were funded with HOME funds became available for income eligible households in summer of 2013.

**13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies**

- a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.**

Not Applicable to the City of Merced

**Antipoverty Strategy**

- 1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.**

The City, in cooperative efforts, continues to work with the Continuum of Care, its partnering cities and non-profit agencies, to identify resources available to reduce the number of persons living below the poverty level and address the needs of the community.



Programs that provide funding for rehabilitation work on purchased homes, rehab work on privately owned properties, and or upkeep of newly purchased properties, allows the city to provide jobs to smaller contractors and service providers within the City. Many of the contractors bidding on jobs from the Housing Division are smaller, one to four person companies. Many contractors use credit cards to make ends meet while awaiting payment from the City. As some state, they are making it “paycheck to paycheck”. These programs allow the City to provide employment in a depressed community as a way to assist this particular group stay above the poverty level.

In addition the City utilizes NSP 1 (State) and NSP 3 (Federal) funding sources to acquire and resell foreclosed homes and provide financial assistance for the construction of multi-family residential apartments. These efforts allow our department to assist a wide variety of households in our community that are at, or below, the area median income. Over the past year we have provided nine (9) First Time Home Buyer Loans, sold nine (9) NSP homes, and have funded one hundred and thirty-nine (139) multi-family units for households at or below the 80% AMI with two (2) units set aside for on-site property managers at or below 120% AMI.

Borrowers/applicants work with either a local lending institution or the designated property management company to identify what amount of assistance these households need to allow them to qualify for the type of residential (rental or purchase) unit they are requesting.

## NON-HOMELESS SPECIAL NEEDS

### Non-homeless Special Needs

*1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).*

In the spring of 2013, the City celebrated the completion of the Woodbridge Apartment complex. All of the units are currently occupied with the 75 units designated to meet the one of the following: 50% (13 - Units), 60% (61 – Units) AMI and 1 unit is designated 120% AMI for the on-site property manager. This project will assist in addressing the needs in relation to supportive housing.

The City also worked with the Central Valley Coalition for Affordable Housing and the Merced County Housing Authority to leverage funding for the construction of a 66 unit housing development. The development will include 10 housing units to serve transitional youth age 18 and over, adult/older women or men who are homeless or at risk of homelessness and have a psychiatric disability. Additional funding for this project is being provided by the Mental Health Services Act (MHSA) funding.

The City of Merced provides HOME funding to the Central Valley Coalition for Affordable Housing, which is currently the designated recipient of the CHDO set aside funds. The CHDO project for FY 2012 was to assist with development of the Gateway Terrace Development.

### Specific HOPWA Objectives

Not Applicable to the City of Merced

**OTHER NARRATIVE**

Include any CAPER information that was not covered by narratives in any other section.

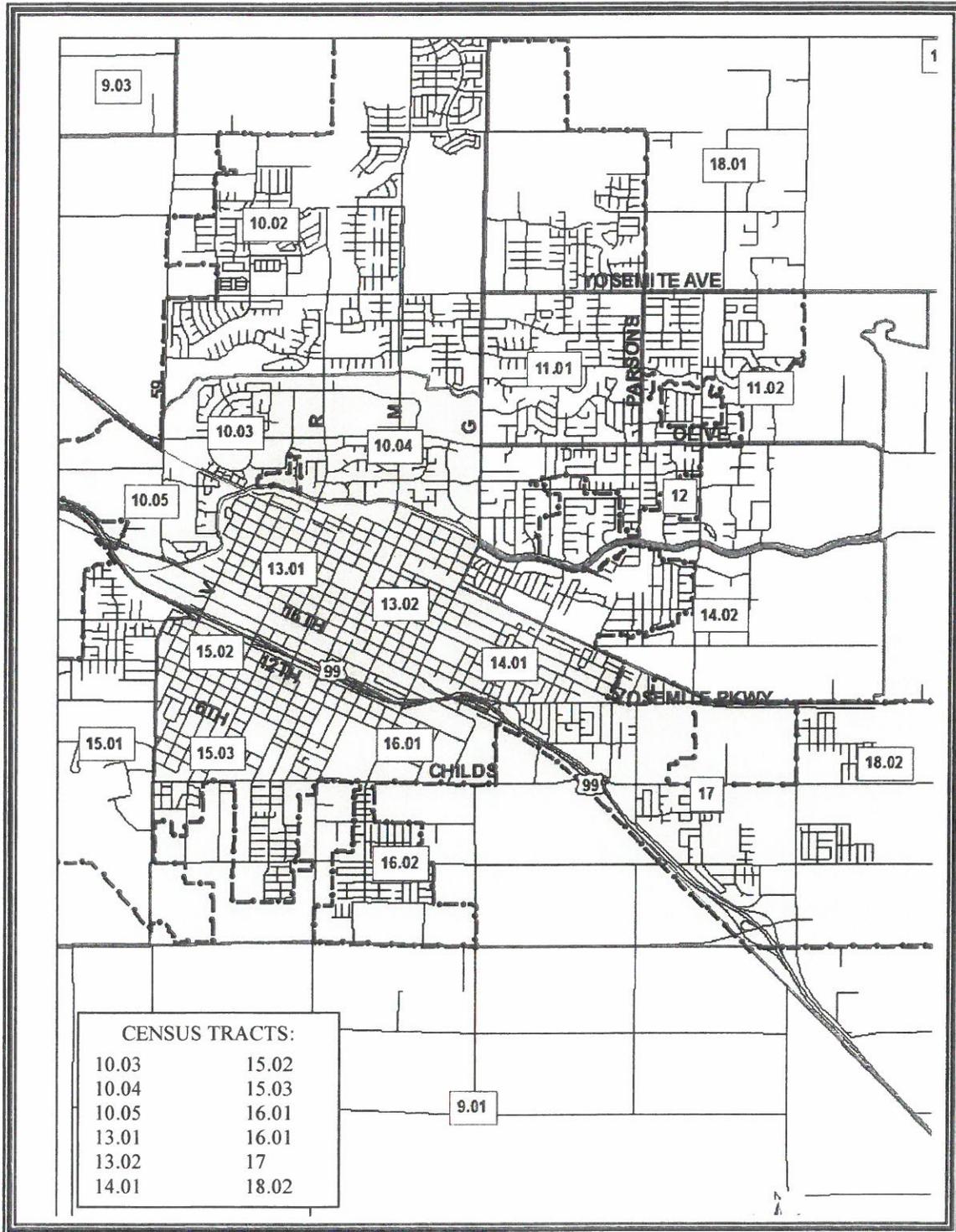
**See Attached Exhibits:**

- Exhibit A: CDBG Target Map
- Exhibit B: State of California HOME Annual Performance Report
- Exhibit C: HUD HOME Annual Performance Report
- Exhibit D: MBE & WBE Reports
- Exhibit E: HOME Match Report
- Exhibit F: CDBG Grantee Performance Financial Summary (PR26)
- Exhibit G: CDBG & CDBG-R Activity Summary Report (PR03)
- Exhibit H: CDBG & Home Summary of Accomplishments (PR23)
- Exhibit I: CAPER Public Hearing Notice
- Exhibit J: Administrative Report and Resolution

# CDBG TARGET MAP

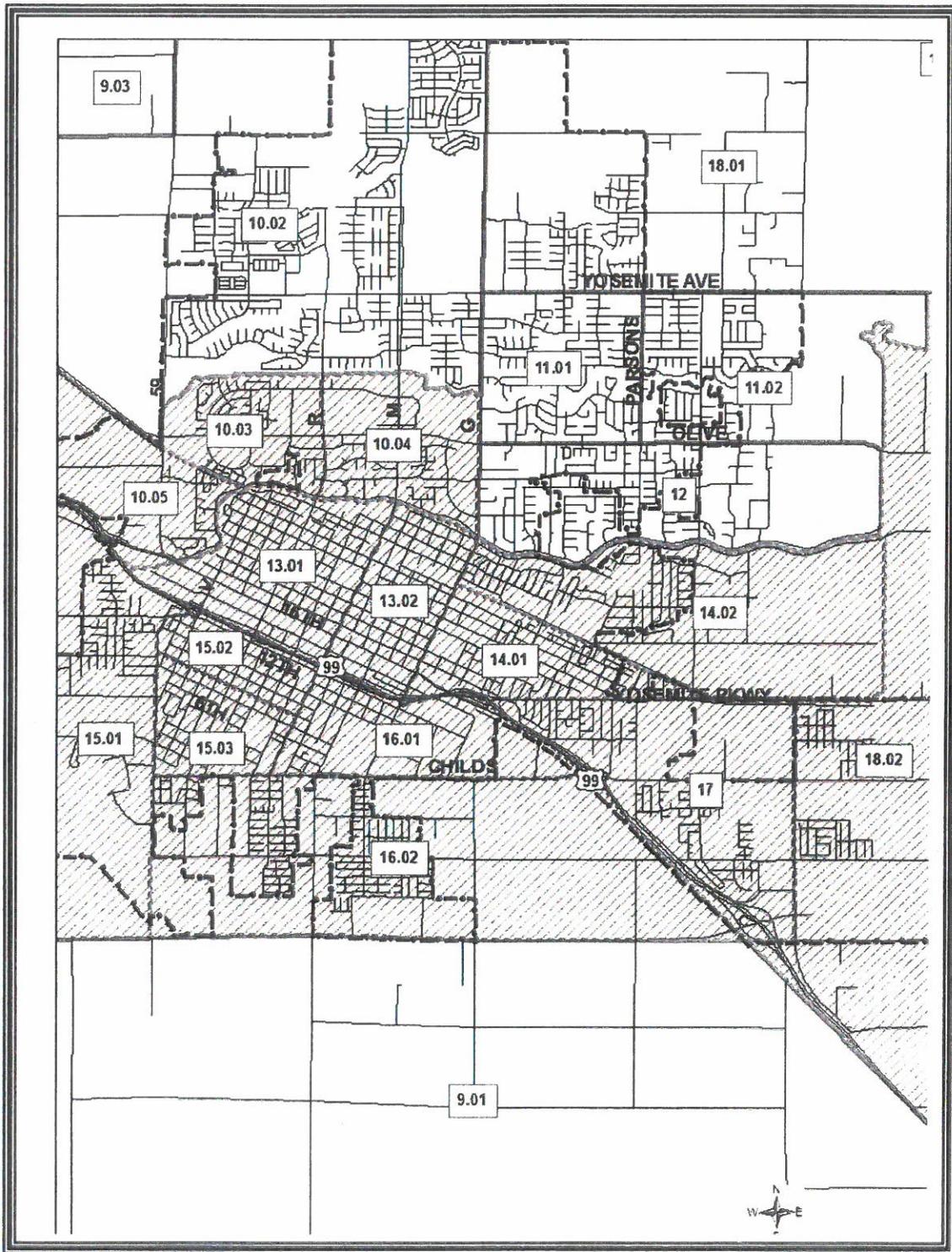
EXHIBIT A

**Map 1**  
**Areas of Low-Income Concentration**



Source: Census 2000

Map 2  
Areas of Racial/Ethnic Minority Concentration



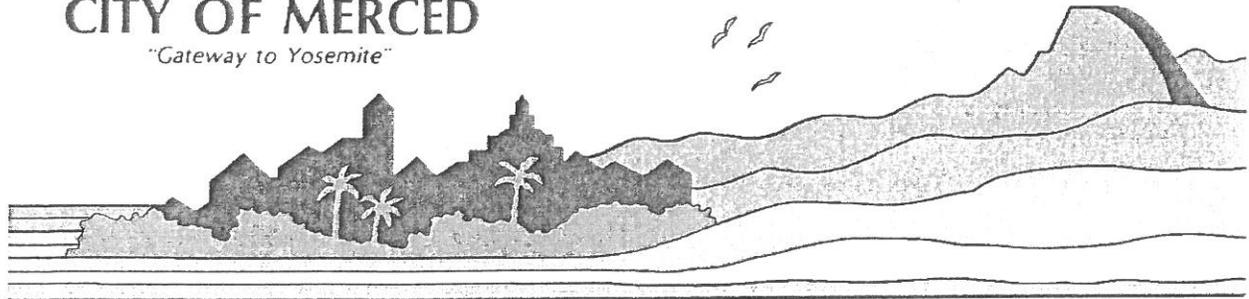
Source: Census 2000

STATE OF CALIFORNIA HOME  
ANNUAL PERFORMANCE REPORT

EXHIBIT B

# CITY OF MERCED

"Gateway to Yosemite"



*City of Merced Housing Division*

*Telephone (209) 385-6863*

*Fax (209) 388-8987*

April 10, 2013

Governor's Office of Planning and Research  
P.O. BOX 3044  
Sacramento, CA 95812-3044

To whom it may concern,

Attached, please find the City of Merced's Annual Element Progress Reports for the Calendar year of 2012.

Please feel free to contact me at (209) 385-6863 should you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark E. Hamilton". The signature is fluid and cursive, with a long, sweeping tail that extends downwards and to the right.

Mark E. Hamilton  
Housing Program Specialist II

Enclosure

**Department of Housing and  
Community Development**

**ANNUAL HOUSING ELEMENT PROGRESS REPORT**

City or County Name: City of Merced

Mailing Address: 678 W. 18<sup>th</sup> street  
Merced Ca 95340

Contact Person: Elaine Post Title: Development Manager

Phone: 209 385 6863 FAX: 209 388 7607 E-mail: poste@cityofmerced.org

Reporting Period by Calendar Year: from Jan 1, 2012 to Dec 31, 2012

These forms and tables, (see sample – next page) must be submitted to HCD and the Governor's Office of Planning and Research (OPR) on or before April 1, of each year for the prior calendar year; submit separate reports directly to both HCD and OPR (Government Code Section 65400) at the addresses listed below:

**Department of Housing and Community Development**  
Division of Housing Policy Development  
P.O. Box 952053  
Sacramento, CA 94252-2053

-and-

**Governor's Office of Planning and Research**  
P.O. Box 3044  
Sacramento, CA 95812-3044

**ANNUAL ELEMENT PROGRESS REPORT**  
***Housing Element Implementation***

(CCR Title 25 §6202 )

Jurisdiction MERCED  
Reporting Period 01/01/2012 - 12/31/2012

Pursuant to GC 65400 local governments must provide by April 1 of each year the annual report for the previous calendar year to the legislative body, the Office of Planning and Research (OPR), and the Department of Housing and Community Development (HCD). By checking the "Final" button and clicking the "Submit" button, you have submitted the housing portion of your annual report to HCD only. Once finalized, the report will no longer be available for editing.

The report must be printed and submitted along with your general plan report directly to OPR at the address listed below:

Governor's Office of Planning and Research  
P.O. Box 3044  
Sacramento, CA 95812-3044

# ANNUAL ELEMENT PROGRESS REPORT

## Housing Element Implementation

(CCR Title 25 §6202 )

Jurisdiction MERCED  
 Reporting Period 01/01/2012 - 12/31/2012

Table A

Annual Building Activity Report Summary - New Construction  
 Very Low-, Low-, and Mixed-Income Multifamily Projects

1	2	3	4				5	5a	6		7	8
			Affordability by Household Incomes						Assistance Programs for Each Development	Deed Restricted Units		
Project Identifier (may be APN No., project name or address)	Unit Category	Tenure R=Renter O=Owner	Very Low-Income	Low-Income	Moderate-Income	Above Moderate-Income	Total Units per Project	Est. # Infill Units*	See Instructions	See Instructions	Housing without Financial Assistance or Deed Restrictions	
Gateway Terrace	5+	Renter	10	55	1	0	66	0	Multifamily Housing Program - HCD, Low Income Housing Tax Credits, Affordable Housing Program- Fed Home Loan	66	Note below the number of units determined to be affordable without financial or deed restrictions and attach an explanation how the jurisdiction determined the units were affordable. Refer to instructions.	
Woodbridge Apartments	5+	Renter	13	61	1	0	75	0	Low Income Housing Tax Credits,			



# ANNUAL ELEMENT PROGRESS REPORT

## Housing Element Implementation

(CCR Title 25 §6202 )

Jurisdiction MERCED  
 Reporting Period 01/01/2012 - 12/31/2012

**Table A2**  
**Annual Building Activity Report Summary - Units Rehabilitated, Preserved and Acquired pursuant to GC Section 65583.1(c)(1)**

Please note: Units may only be credited to the table below when a jurisdiction has included a program it its housing element to rehabilitate, preserve or acquire units to accommodate a portion of its RHNA which meet the specific criteria as outlined in GC Section 65583.1(c)(1)

Activity Type	Affordability by Household Incomes				TOTAL UNITS	(4) The Description should adequately document how each unit complies with subsection (c)(7) of Government Code Section 65583.1
	Extremely Low-Income*	Very-Low-Income	Low-Income			
(1) Rehabilitation Activity	0	4	13	17		NSP, CalHOME 06
(2) Preservation of Units At-Risk	0	0	0	0		
(3) Acquisition of Units	0	2	14	16		Acquired for future NSP qualified buyers and CalHome 06 First Time Homebu
(5) Total Units by Income	0	6	27	33		

\* Note: This field is voluntary

# ANNUAL ELEMENT PROGRESS REPORT

## *Housing Element Implementation*

(CCR Title 25 §6202 )

Jurisdiction MERCED  
 Reporting Period 01/01/2012 - 12/31/2012

**Table A3**  
**Annual building Activity Report Summary for Above Moderate-Income Units**  
 (not including those units reported on Table A)

	1. Single Family	2. 2 - 4 Units	3. 5+ Units	4. Second Unit	5. Mobile Homes	6. Total	7. Number of infill units*
No. of Units Permitted for Moderate	0	0	0	0	0	0	0
No. of Units Permitted for Above Moderate	1	0	0	0	0	1	0

\* Note: This field is voluntary



# ANNUAL ELEMENT PROGRESS REPORT

## Housing Element Implementation

(CCR Title 25 §6202 )

Jurisdiction MERCED  
 Reporting Period 01/01/2012 - 12/31/2012

**Table C**  
**Program Implementation Status**

Program Description (By Housing Element Program Names)	Housing Programs Progress Report - Government Code Section 65583. Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element.	Objective	Timeframe in H.E.	Status of Program Implementation
Name of Program	Objective	Timeframe in H.E.	Status of Program Implementation	Status of Program Implementation
Housing Rehabilitation Loan Program	Provide Loans for down payment and closing costs assistance.	On-Going	Correction of code violations affecting health and safety.	
First Time Homebuyer Assistance Program	Provide loans for down payment and closing cost assistance.	On-Going	This program provides financial support to help families purchase their first home. Families must be below 80% AMI.	
Begin 07 Program	Provide Mortgage Assistance	July 2012	Provide mortgage assistance loans to Low and Moderate Income Families.	
CalHome 06	Rehabilitation or Down-Payment Assistance Loans	July 2013	The program provides loans to help families either rehabilitate their home or purchase as a first time homebuyer. Families must be below 80% AMI.	
Homeownership Development Project	Provide new housing opportunities for Low-Income Families	July 2012	To provide financial assistance for new residential units designated for low income housing.	
Neighborhood Stabilization Program	Acquire, Rehabilitate, and Re-Sell Foreclosed Homes to Low-Income Families and provide financial assistance to first time homebuyers.	July 2016	The City plans to spend about 30% for Homebuyer Assistance Program and 70% of the grant and program income funds for acquisition, rehabilitation, and re-sell of foreclosed properties within the City.	

**ANNUAL ELEMENT PROGRESS REPORT**  
***Housing Element Implementation***

(CCR Title 25 §6202 )

Jurisdiction MERCED  
Reporting Period 01/01/2012 - 12/31/2012

**General Comments:**

The total remaining need is inaccurate as staff is unable to upload the previous years (2009-2011) numbers into the system.

HOME PROGRAM  
ANNUAL PERFORMANCE REPORT  
(HUD)

EXHIBIT C

# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting 07/01/12	Ending 06/30/13	

## Part I Participant Identification

1. Participant Number MC060227	2. Participant Name CITY OF MERCED		
3. Name of Person completing this report DAWN MENDONCA	4. Phone Number (Include Area Code) 209-385-6976		
5. Address 678 W. 18TH STREET	6. City MERCED	7. State CA	8. Zip Code 95340

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$0.00	\$95,288.52	\$83,307.85	\$0.00	\$11,980.67

## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	0				
2. Dollar Amount	\$0.00				
B. Sub-Contracts					
1. Number	0				
2. Dollar Amount	\$0.00				
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	0				
2. Dollar Amount	\$0.00				
D. Sub-Contracts					
1. Number	0				
2. Dollar Amounts	\$0.00				

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount	0.00					

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	0	0.00
2. Businesses Displaced	0	0.00
3. Nonprofit Organizations Displaced	0	0.00
4. Households Temporarily Relocated, not Displaced	0	0.00

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0					
6. Households Displaced - Cost	0.00					

MINORITY BUSINESS ENTERPRISE (MBE)  
&  
WOMEN BUSINESS ENTERPRISE (WBE)  
REPORTS

EXHIBIT D

# Contract and Subcontract Activity

## U.S. Department of Housing and Urban Development

OMB Approval No.: 2535-0117 (exp. 1/31/2013)

Public Reporting Burden for this collection of information is estimated to average .50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is voluntary. HUD may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB Control Number.

Executive Order 12421 dated July 14, 1983, directs the Minority Business Development Plans shall be developed by each Federal Agency and that these annual plans shall establish minority business development objectives. The information is used by HUD to monitor and evaluate MBE activities against the total program activity and the designated minority business enterprise (MBE) goals. The Department requires the information to provide guidance and oversight for programs for the development of minority business enterprise concerning Minority Business Development. If the information is not collected HUD would not be able to establish meaningful MBE goals nor evaluate MBE performance against these goals. While no assurances of confidentiality is pledged to respondents, HUD generally discloses this data only in response to a Freedom of Information request.

**Privacy Act Notice** - The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, United States Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. It will not be disclosed or released outside the United States Department of Housing and Urban Development without your consent, except as required or permitted by law.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency  
 City of Merced - Housing Division  
 Check in: PHA  IHA   
 2. Location (City, State, ZIP Code)  
 678 W. 18th Street, Merced CA 95340

3a. Name of Contact Person  
 Mark Hamilton  
 3b. Phone Number (Including Area Code)  
 (209) 385-6863  
 4. Reporting Period  
 Oct. 1 - Sept. 30 (Annual-FY)  
 5. Program Code (Not applicable for CPD programs.)  
 See explanation of codes at bottom of page.  
 Use a separate sheet for each program code.  
 6. Date Submitted to Field Office  
 07/29/2013

Grant/Project Number or HUD Case Number or other identification of property, subdivision, dwelling unit, etc.	Amount of Contract or Subcontract	Type of Trade Code (See below)	Contractor or Subcontractor Business Racial/Ethnic Code (See below)	Woman Owned Business (Yes or No)	Prime Contractor Identification Number	Sec. 3 Contractor Identification (ID) Number	Subcontractor Identification (ID) Number	Name	Street	City	State	Zip Code
7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	7j.	7k.	7l.	7m.
NSP1 - 32 San Pedro Way	4,290	3	1	N	863033	N		Summit Construction	40 San Pedro Street	Merced	CA	95340
NSP1 - 80 Sandy Lane	43,815	2	1	N	821795	N		Brett Briggs Construction	3144 N. G Street	Merced	CA	95340
NSP1 - 600 E. 23rd Street	43,848	2	4	N	765741	N		H.A.N.G. Construction	1629 Cedar Ave	Atwater	CA	95301
NSP1 - 1319 W. 19th Street	49,750	2	1	N	939899	N		JM Construction	1212 Valeraiwood Way	Modesto	CA	95355
NSP1 - 1870 Orchard Lane	38,706	2	1	N	821795	N		Brett Briggs Construction	3144 N. G Street	Merced	CA	95340
NSP1 - 1059 Windsor Ave	46,080	2	4	N	765741	N		H.A.N.G. Construction	1629 Cedar Ave	Atwater	CA	95301
NSP1 - 2318 Wood Street	42,296	2	4	N	765741	N		H.A.N.G. Construction	1629 Cedar Ave	Atwater	CA	95301

**7c: Type of Trade Codes:**  
 Housing/Public Housing:  
 1 = New Construction  
 2 = Substantial Rehab.  
 3 = Repair  
 4 = Service  
 5 = Project Mangt.  
 6 = Professional  
 7 = Tenant Services  
 8 = Education/Training  
 9 = Arch./Engrg. - Appraisal  
 0 = Other

**7d: Racial/Ethnic Codes:**  
 1 = White Americans  
 2 = Black Americans  
 3 = Native Americans  
 4 = Hispanic Americans  
 5 = Asian/Pacific Americans  
 6 = Hasidic Jews

**5: Program Codes** (Complete for Housing and Public and Indian Housing programs only):  
 1 = All insured, including Section 8  
 2 = Flexible Subsidy  
 3 = Section 8 Noninsured, Non-HFEDA  
 4 = Insured (Management)  
 5 = Section 202  
 6 = HUD-Held (Management)  
 7 = Public/Indian Housing

This report is to be completed by grantees, developers, sponsors, builders, agencies, and/or project owners for reporting contract and subcontract activities of \$10,000 or more under the following programs: Community Development Block Grants (entitlement and small cities); Urban Development Action Grants; Housing Development Grants; Multifamily Insured and Noninsured; Public and Indian Housing Authorities; and contracts entered into by recipients of CDBG rehabilitation assistance. Contracts/subcontracts of less than \$10,000 need be reported only if such contracts represent a significant portion of your total contracting activity. Include only contracts executed during this reporting period.

This form has been modified to capture Section 3 contract data in columns 7g and 7i. Section 3 requires that the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs shall, to the greatest extent feasible, be directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. Recipients using this form to report Section 3 contract data must also use Part 1 of form HUD-60002 to report employment and training opportunities data. Form HUD-2516 is to be

**Community Development Programs**

1. **Grantee:** Enter the name of the unit of government submitting this report.
3. **Contact Person:** Enter name and phone of person responsible for maintaining and submitting contract/subcontract data.
- 7a. **Grant Number:** Enter the HUD Community Development Block Grant Identification Number (with dashes). For example: B-32-MC-25-0034. For Entitlement Programs and Small City multi-year comprehensive programs, enter the latest approved grant number.
- 7b. **Amount of Contract/Subcontract:** Enter the dollar amount rounded to the nearest dollar. If subcontractor ID number is provided in 7i, the dollar figure would be for the subcontract only and not for the prime contract.
- 7c. **Type of Trade:** Enter the numeric codes which best indicates the contractor's/subcontractor's service. If subcontractor ID number is provided in 7i, the type of trade code would be for the subcontract only and not for the prime contractor. The "other" category includes supply, professional services and all other activities except construction and education/training activities.
- 7d. **Business Racial/Ethnic/Gender Code:** Enter the numeric code which indicates the racial/ethnic/gender character of the owner(s) and controller(s) of 51% of the business. When 51% or more is not owned and controlled by any single racial/ethnic/gender category, enter the code which seems most appropriate. If the subcontractor ID number is provided, the code would apply to the subcontract and not to the prime contractor.
- 7e. **Woman Owned Business:** Enter Yes or No.
- 7f. **Contractor Identification (ID) Number:** Enter the Employer (IRS) Number of the Prime Contractor as the unique identifier for prime recipient of HUD funds. Note that the Employer (IRS) Number must be provided for each contract/subcontract awarded.
- 7g. **Section 3 Contractor:** Enter Yes or No.
- 7h. **Subcontractor Identification (ID) Number:** Enter the Employer (IRS) Number of the subcontractor as the unique identifier for each subcontract awarded from HUD funds. When the subcontractor ID Number is provided, the respective Prime Contractor ID Number must also be provided.
- 7i. **Section 3 Contractor:** Enter Yes or No.

**7l. Contractor/Subcontractor Name and Address:** Enter this information for each. Previous editions are obsolete.

completed for public and Indian housing and most community development programs. Form HUD-60002 is to be completed by all other HUD programs including State administered community development programs covered under Section 3.

A Section 3 contractor/subcontractor is a business concern that provides economic opportunities to low- and very low-income residents of the metropolitan area (or nonmetropolitan county), including a business concern that is 51 percent or more owned by low- or very low-income residents; employs a substantial number of low- or very low-income residents; or provides subcontracting or business development opportunities to businesses owned by low- or very low-income residents. Low- and very low-income residents include participants in Youthbuild programs established under Subtitle D of Title IV of the Cranston-Gonzalez National Affordable Housing Act. The terms "low-income persons" and "very low-income persons" have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. Low-income persons mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that the Secretary

firm receiving contract/subcontract activity only one time on each report for each firm.

**Multifamily Housing Programs**

1. **Grantee/Project Owner:** Enter the name of the unit of government, agency or mortgage entity submitting this report.
3. **Contact Person:** Same as item 3 under CPD Programs.
4. **Reporting Period:** Check only one period.
5. **Program Code:** Enter the appropriate program code.
- 7a. **Grant/Project Number:** Enter the HUD Project Number or Housing Development Grant or number assigned.
- 7b. **Amount of Contract/Subcontract:** Same as item 7b. under CPD Programs.
- 7c. **Type of Trade:** Same as item 7c. under CPD Programs.
- 7d. **Business Racial/Ethnic/Gender Code:** Same as item 7d. under CPD Programs.
- 7e. **Woman Owned Business:** Enter Yes or No.
- 7f. **Contractor Identification (ID) Number:** Same as item 7f. under CPD Programs.
- 7g. **Section 3 Contractor:** Enter Yes or No.
- 7h. **Subcontractor Identification (ID) Number:** Same as item 7h. under CPD Programs.
- 7i. **Section 3 Contractor:** Enter Yes or No.
- 7j. **Contractor/Subcontractor Name and Address:** Same as item 7j. under CPD Programs.

may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low-income families. Very low-income persons means low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes. Submit two (2) copies of this report to your local HUD Office within ten (10) days after the end of the reporting period you checked in item 4 on the front. Complete item 7h, only once for each contractor/subcontractor on each semi-annual report.

Enter the prime contractor's ID in item 7f. for all contracts and subcontracts. Include only contracts executed during this reporting period. PHAs/IHAs are to report all contracts/subcontracts.

**Public Housing and Indian Housing Programs**

PHAs/IHAs are to report all contracts/subcontracts. Include only contracts executed during this reporting period.

1. **Project Owner:** Enter the name of the unit of government, agency or mortgage entity submitting this report. Check box as appropriate.
3. **Contact Person:** Same as item 3 under CPD Programs.
4. **Reporting Period:** Check only one period.
5. **Program Code:** Enter the appropriate program code.
- 7a. **Grant/Project Number:** Enter the HUD Project Number or Housing Development Grant or number assigned.
- 7b. **Amount of Contract/Subcontract:** Same as item 7b. under CPD Programs.
- 7c. **Type of Trade:** Same as item 7c. under CPD Programs.
- 7d. **Business Racial/Ethnic/Gender Code:** Same as item 7d. under CPD Programs.
- 7e. **Woman Owned Business:** Enter Yes or No.
- 7f. **Contractor Identification (ID) Number:** Same as item 7f. under CPD Programs.
- 7g. **Section 3 Contractor:** Enter Yes or No.
- 7h. **Subcontractor Identification (ID) Number:** Same as item 7h. under CPD Programs.
- 7i. **Section 3 Contractor:** Enter Yes or No.
- 7j. **Contractor/Subcontractor Name and Address:** Same as item 7j. under CPD Programs.



**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 455309.36
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 282,193.30
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

**The City of Merced does not have any Section 3 Contractors on its list.**

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Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self-explanatory**

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 692,028
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 2,317,119
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

## The City of Merced does not have any Section 3 Contractors on its list.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name .

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

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**Part III: Summary of Efforts – Self -explanatory**

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

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**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 1,200,000
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
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7. Date Report Submitted: Enter the appropriate date.

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**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self -explanatory**

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

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# Contract and Subcontract Activity

## U.S. Department of Housing and Urban Development

OMB Approval No.: 2535-0117 (exp. 1/31/2013)

Public Reporting Burden for this collection of information is estimated to average .50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is voluntary. HUD may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB Control Number.

Executive Order 12421 dated July 14, 1983, directs the Minority Business Development Plans shall be developed by each Federal Agency and that these annual plans shall establish minority business development objectives. The information is used by HUD to monitor and evaluate MBE activities against the total program activity and the designated minority business enterprise (MBE) goals. The Department requires the information to provide guidance and oversight for programs for the development of minority business enterprise concerning Minority Business Development. If the information is not collected HUD would not be able to establish meaningful MBE goals nor evaluate MBE performance against these goals. While no assurances of confidentiality is pledged to respondents, HUD generally discloses this data only in response to a Freedom of Information request.

**Privacy Act Notice** - The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, United States Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. It will not be disclosed or released outside the United States Department of Housing and Urban Development without your consent, except as required or permitted by law.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

City of Merced - Housing Division

3a. Name of Contact Person: Mark Hamilton

3b. Phone Number (Including Area Code): (209) 385-6863

3c. Reporting Period: Oct. 1 - Sept. 30 (Annual-FY)

4. Check if: PHA  IHA

5. Program Code (Not applicable for CPD programs.)

6. Date Submitted to Field Office: 09/24/2013

7a. Grant/Project Number or HUD Case Number or other identification of property, subdivision, dwelling unit, etc.	7b. Amount of Contract or Subcontract	7c. Type of Trade Code (See below)	Contractor or Subcontractor Business Code (See below)	7d. Woman Owned Business (Yes or No)	Prime Contractor Identification (ID) Number	7e. Subcontractor Identification (ID) Number	7f. Sec. 3	7g. Sec. 3	Name	Street	City	State	Zip Code
NSP3 - 32 San Pedro Way	4,290	3	1	N	863033		N		Summit Construction	40 San Pedro Street	Merced	CA	95340
NSP3 - 2846 Parsons Avenue	25,940	2	1	N	939899		N		JM Construction	1212 Valerawood Way	Modesto	CA	95355
NSP3 - 1032 Cindy Court	63,160	2	1	N	765741		N		H.A.N.G. Construction	1629 Cedar Ave	Atwater	CA	95301
NSP3 - 452 Las Brisas Court	21,079	2	1	N	939899		N		H.A.N.G. Construction	1629 Cedar Ave	Atwater	CA	95301
NSP3 - 2767 Saratoga	12,485	2	4	N	931854		N		Top Notch Construction	3312 Bridal Veil Court	Merced	CA	95340
NSP3 - 2318 Wood Street	46,125	2	4	N	765741		N		H.A.N.G. Construction	1629 Cedar Ave	Atwater	CA	95301
HOME - Gateway Terrace	1,200,000	1	1		918164		N		Adroit Development	31238 Via Collinas Suite #H	Westlake Village	CA	91362
CDBG - 721 W. 4th Street	8,185	2	1		863033		N		Summit Construction	40 San Pedro Street	Merced	CA	95340
NSP3 - Gateway Terrace	299,046	1	1		918164		N		Adroit Development	31238 Via Collinas Suite #H	Westlake Village	CA	91362

**7c: Type of Trade Codes:**

- 1 = New Construction
- 2 = Education/Training
- 3 = Other
- 4 = Service
- 5 = Project Mangt.
- 6 = Professional
- 7 = Tenant Services
- 8 = Education/Training
- 9 = Arch./Engrg. Appraisal
- 0 = Other

**7d: Racial/Ethnic Codes:**

- 1 = White Americans
- 2 = Black Americans
- 3 = Native Americans
- 4 = Hispanic Americans
- 5 = Asian/Pacific Americans
- 6 = Hasidic Jews

**5: Program Codes** (Complete for Housing and Public and Indian Housing programs only):

- 1 = All insured, including Section 8
- 2 = Flexible Subsidy
- 3 = Section 8 Noninsured, Non-HFDA
- 4 = Insured (Management)
- 5 = Section 202
- 6 = HUD-Held (Management)
- 7 = Public/Indian Housing

Previous editions are obsolete.

This report is to be completed by grantees, developers, sponsors, builders, agencies, and/or project owners for reporting contract and subcontract activities of \$10,000 or more under the following programs: Community Development Block Grants (entitlement and small cities); Urban Development Action Grants; Housing Development Grants; Multifamily Insured and Noninsured; Public and Indian Housing Authorities; and contracts entered into by recipients of CDBG rehabilitation assistance.

Contracts/subcontracts of less than \$10,000 need be reported only if such contracts represent a significant portion of your total contracting activity. Include only contracts executed during this reporting period.

This form has been modified to capture Section 3 contract data in columns 7g and 7i. Section 3 requires that the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs shall, to the greatest extent feasible, be directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. Recipients using this form to report Section 3 contract data must also use Part 1 of form HUD-60002 to report employment and training opportunities data. Form HUD-2516 is to be

completed for public and Indian housing and most community development programs. Form HUD-60002 is to be completed by all other HUD programs including State administered community development programs covered under Section 3.

A Section 3 contractor/subcontractor is a business concern that provides economic opportunities to low- and very low-income residents of the metropolitan area (or nonmetropolitan county), including a business concern that is 51 percent or more owned by low- or very low-income residents; employs a substantial number of low- or very low-income residents; or provides subcontracting or business development opportunities to businesses owned by low- or very low-income residents. Low- and very low-income residents include participants in "Youthbuild programs established under Subtitle D of Title IV of the Cranston-Gonzalez National Affordable Housing Act.

The terms "low-income persons" and "very low-income persons" have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. Low-income persons mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that the Secretary

may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low-income families. Very low-income persons means low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes. Submit two (2) copies of this report to your local HUD Office within ten (10) days after the end of the reporting period you checked in item 4 on the front. Complete item 7h, only once for each contractor/subcontractor on each semi-annual report.

Enter the prime contractor's ID in item 7i, for all contracts and subcontracts. Include only contracts executed during this reporting period. PHAs/IHAs are to report all contracts/subcontracts.

#### Community Development Programs

1. **Grantee:** Enter the name of the unit of government submitting this report.  
3. **Contact Person:** Enter name and phone of person responsible for maintaining and submitting contract/subcontract data.

7a. **Grant Number:** Enter the HUD Community Development Block Grant Identification Number (with dashes). For example: B-32-MC-25-0034. For Entitlement Programs and Small City multi-year comprehensive programs, enter the latest approved grant number.

7b. **Amount of Contract/Subcontract:** Enter the dollar amount rounded to the nearest dollar. If subcontractor ID number is provided in 7i, the dollar figure would be for the subcontract only and not for the prime contract.

7c. **Type of Trade:** Enter the numeric codes which best indicates the contractor's/subcontractor's service. If subcontractor ID number is provided in 7i, the type of trade code would be for the subcontract only and not for the prime contractor. The "other" category includes supply, professional services and all other activities except construction and education/training activities.

7d. **Business Racial/Ethnic/Gender Code:** Enter the numeric code which indicates the racial/ethnic/gender character of the owner(s) and controller(s) of 51% of the business. When 51% or more is not owned and controlled by any single racial/ethnic/gender category, enter the code which seems most appropriate. If the subcontractor ID number is provided, the code would apply to the subcontractor and not to the prime contractor.

7e. **Woman Owned Business:** Enter Yes or No.

7i. **Contractor Identification (ID) Number:** Enter the Employer (IRS) Number of the Prime Contractor as the unique identifier for prime recipient of HUD funds. Note that the Employer (IRS) Number must be provided for each contract/subcontract awarded.

7g. **Section 3 Contractor:** Enter Yes or No.

7h. **Subcontractor Identification (ID) Number:** Enter the Employer (IRS) Number of the subcontractor as the unique identifier for each subcontract awarded from HUD funds. When the subcontractor ID Number is provided, the respective Prime Contractor ID Number must also be provided.

7i. **Section 3 Contractor:** Enter Yes or No.

7l. **Contractor/Subcontractor Name and Address:** Enter this information for each Previous editions are obsolete.

firm receiving contract/subcontract activity only one time on each report for each firm.

#### Multifamily Housing Programs

1. **Grantee/Project Owner:** Enter the name of the unit of government, agency or mortgage entity submitting this report.

3. **Contact Person:** Same as item 3 under CPD Programs.

4. **Reporting Period:** Check only one period.

5. **Program Code:** Enter the appropriate program code.

7a. **Grant/Project Number:** Enter the HUD Project Number or Housing Development Grant or number assigned.

7b. **Amount of Contract/Subcontract:** Same as item 7b, under CPD Programs.

7c. **Type of Trade:** Same as item 7c, under CPD Programs.

7d. **Business Racial/Ethnic/Gender Code:** Same as item 7d, under CPD Programs.

7e. **Woman Owned Business:** Enter Yes or No.

7i. **Contractor Identification (ID) Number:** Same as item 7i, under CPD Programs.

7g. **Section 3 Contractor:** Enter Yes or No.

7h. **Subcontractor Identification (ID) Number:** Same as item 7h, under CPD Programs.

7i. **Section 3 Contractor:** Enter Yes or No.

7j. **Contractor/Subcontractor Name and Address:** Same as item 7j, under CPD Programs.

#### Public Housing and Indian Housing Programs

PHAs/IHAs are to report all contracts/subcontracts. Include only contracts executed during this reporting period.

1. **Project Owner:** Enter the name of the unit of government, agency or mortgage entity submitting this report. Check box as appropriate.

3. **Contact Person:** Same as item 3 under CPD Programs.

4. **Reporting Period:** Check only one period.

5. **Program Code:** Enter the appropriate program code.

7a. **Grant/Project Number:** Enter the HUD Project Number or Housing Development Grant or number assigned.

7b. **Amount of Contract/Subcontract:** Same as item 7b, under CPD Programs.

7c. **Type of Trade:** Same as item 7c, under CPD Programs.

7d. **Business Racial/Ethnic/Gender Code:** Same as item 7d, under CPD Programs.

7e. **Woman Owned Business:** Enter Yes or No.

7i. **Contractor Identification (ID) Number:** Same as item 7i, under CPD Programs.

7g. **Section 3 Contractor:** Enter Yes or No.

7h. **Subcontractor Identification (ID) Number:** Same as item 7h, under CPD Programs.

7i. **Section 3 Contractor:** Enter Yes or No.

7j. **Contractor/Subcontractor Name and Address:** Same as item 7j, under CPD Programs.



**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 8,185
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

## The City of Merced does not have any Section 3 Contractors on its list.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name .

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self -explanatory**

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 1,200,000
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

**The City of Merced does not have any Section 3 Contractors on its list.**

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
  2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
  3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
  - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
  6. Reporting Period: Indicate the time period (months and year) this report covers.
  7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self –explanatory**

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 472,125
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

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Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
  2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
  3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
  - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
  6. Reporting Period: Indicate the time period (months and year) this report covers.
  7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

**Block 1:** Construction Contracts

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2:** Non-Construction Contracts

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III:** Summary of Efforts – Self -explanatory

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



**Part II: Contracts Awarded**

1. Construction Contracts:	
A. Total dollar amount of all contracts awarded on the project	\$ 299,046
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0
2. Non-Construction Contracts:	
A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

**The City of Merced does not have any Section 3 Contractors on its list.**

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
  2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
  3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
  - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
  6. Reporting Period: Indicate the time period (months and year) this report covers.
  7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self –explanatory**

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Agency Name: <b>City of Merced</b>	Agency Type: <small>[e.g., CDBG, PHA, TDHE/IHA]</small> <b>CDBG, HOME &amp; NSP</b>	State: <b>CA</b>	LR2000 Agency ID #: <small>(HUD Use Only)</small>
<b>Period Covered: Check One and Enter Year(s)</b>			
<input checked="" type="checkbox"/> <b>Period 1:</b> October 1, <u>2012</u> to March 31, <u>2013</u>		<input type="checkbox"/> <b>Period 2:</b> April 1, _____ to September 30, _____	
Agency Contact Person: <b>Mark Hamilton</b>	Agency Contact Phone/E-mail: <b>(209) 385-6863</b> <b>hamiltonm@cityofmerced.org</b>		

**PART I - CONTRACTING ACTIVITY\***  
*Pertains ONLY to projects awarded during the reporting period.*

1. Number of prime contracts subject to the Davis-Bacon and Related Acts (DBRA) and/or the Contract Work Hours and Safety Standards Act (CWHSSA) awarded this period  
Note: Do not include contracts included in previous semi-annual reports 1
  
2. Total dollar amount of prime contracts reported in item 1 above **\$3,000,000**
  
3. List for each contract awarded this period:

Project Name/Number	Contract Amount	Wage Decision Number	Wage Decision Lock-In Date
<b>EXAMPLE:</b>			
"Boy's Club Renovation # CD54005-65"	"\$0,000,000.00"	"FL040001/Mod 3, 6/25/04, Building"	"07/02/04 bid open date" ◀ Lock
Gateway Terrace	1,500,000	CA120013	10/11/2012
Woodbridge Apartments	1,500,000	CA100013 MOD19	08/19/11

\*Use additional pages if necessary

**WHAT IS THE LOCK-IN DATE?** For contracts entered into pursuant to competitive bidding procedures, the bid opening date "locks-in" the wage decision provided that the contract is awarded within 90 days. If the contract is awarded more than 90 days after bid opening, the contract award date "locks-in" the wage decision. For contracts, purchase orders or other agreements for which there is no bid opening or award date, use the construction start date as the lock-in date. However, for projects receiving assistance under Section 8 of the U.S. Housing Act of 1937 or contracts involving a project wage determination, the lock-in rules may vary from above. See Department of Labor Regulations, 29 CFR, Part 1, Section 1.6 and/or HUD Handbook 1344.1, or consult the HUD Labor Relations staff.

**WHAT IT ISN'T:** Do not use the wage decision publication date, unless that happens to correspond to one of the trigger events described above. If you are not sure about any of this, please feel free to contact the Labor Relations staff in your state or region.

Agency Name: <b>City of Merced</b>	Agency Type: <small>[e.g., CDBG, PHA, TDHE/IHA]</small> <b>CDBG, HOME &amp; NSP</b>	State: <b>CA</b>	LR2000 Agency ID #: <small>(HUD Use Only)</small>
<b>Period Covered: Check One and Enter Year(s)</b>			
<input checked="" type="checkbox"/> <b>Period 1:</b> October 1, <u>2011</u> to March 31, <u>2012</u>		<input type="checkbox"/> <b>Period 2:</b> April 1, _____ to September 30, _____	
Agency Contact Person: <b>Mark Hamilton</b>	Agency Contact Phone/E-mail: <b>(209)385-6863 hamiltonm@cityofmerced.org</b>		

**PART II - ENFORCEMENT ACTIVITY\***

*Pertains to all projects, not just contract(s) awarded during the reporting period.*

4. Number of employers against whom **complaints** were received (list employers and projects involved below): 0

<b>Employer</b>	<b>Project(s)</b>
-----------------	-------------------

5. (a) Number of cases (employers) referred to HUD Labor Relations for investigation or §5.11 hearing (list referrals below): 0

(b) Number of cases (employers) referred to the Department of Labor (DOL) for investigation or §5.11 hearing (list referrals below): 0

<b>Employer</b>	<b>Project</b>	<b>HUD or DOL</b>	<b>Invest. Or Hearing</b>
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6. (a) **Number of workers for whom wage restitution was collected/disbursed:** 0  
*Report only once; if you previously reported workers for whom restitution was collected, do not report the same workers when funds are disbursed. Include workers to whom restitution was paid directly by the employer.*

(b) **Total amount of straight time wage restitution collected/disbursed during this period:** \$0  
*Report only once; if you report funds collected, do not report the disbursement. Include restitution amounts paid directly by the employer as reported on correction certified payrolls.*

(c) **Total amount of CWHHSA overtime wage restitution collected/disbursed during this period:** \$0  
*Report only once; if you report funds collected, do not report the disbursement. Include restitution amounts paid directly by the employer as reported on correction certified payrolls.*

(d) **Total amount of liquidated damages collected:** \$0

\* Use additional pages if necessary

Agency Name: <b>City of Merced</b>	Agency Type: <small>[e.g., CDBG, PHA, TDHE/IHA]</small> <b>NSP</b>	State: <b>CA</b>	LR2000 Agency ID #: <small>(HUD Use Only)</small>
<b>Period Covered: Check One and Enter Year(s)</b>			
<input type="checkbox"/> <b>Period 1:</b> October 1, _____ to March 31, _____		<input checked="" type="checkbox"/> <b>Period 2:</b> April 1, <b>2012</b> to September 30, <b>2012</b>	
Agency Contact Person: <b>Mark Hamilton</b>	Agency Contact Phone/E-mail: <b>(209) 385-6863</b> <b>hamiltonm@cityofmerced.org</b>		

**PART I - CONTRACTING ACTIVITY\***  
*Pertains ONLY to projects awarded during the reporting period.*

1. Number of prime contracts subject to the Davis-Bacon and Related Acts (DBRA) and/or the Contract Work Hours and Safety Standards Act (CWHSSA) awarded this period  
 Note: Do not include contracts included in previous semi-annual reports 1

2. Total dollar amount of prime contracts reported in item 1 above \$1,500,000

3. List for each contract awarded this period:

Project Name/Number	Contract Amount	Wage Decision Number	Wage Decision Lock-In Date
<b>EXAMPLE:</b>			
"Boy's Club Renovation # CD54005-65" <b>Woodbridge Apartment</b>	"\$0,000,000.00" <b>1,500,000</b>	"FL040001/Mod 3, 6/25/04, Building" <b>CA100013 MOD19</b>	"07/02/04 bid open date" ◀ Lock

\*Use additional pages if necessary

**WHAT IS THE LOCK-IN DATE?** For contracts entered into pursuant to competitive bidding procedures, the bid opening date "locks-in" the wage decision **provided** that the contract is awarded within 90 days. If the contract is awarded more than 90 days after bid opening, the contract award date 'locks-in' the wage decision. For contracts, purchase orders or other agreements for which there is no bid opening or award date, use the construction start date as the lock-in date. However, for projects receiving assistance under Section 8 of the U.S. Housing Act of 1937 or contracts involving a *project* wage determination, the lock-in rules may vary from above. See Department of Labor Regulations, 29 CFR, Part 1, Section 1.6 and/or HUD Handbook 1344.1, or consult the HUD Labor Relations staff.

**WHAT IT ISN'T:** Do not use the wage decision publication date, unless that happens to correspond to one of the trigger events described above. If you are not sure about any of this, please feel free to contact the Labor Relations staff in your state or region.

Agency Name: <b>City of Merced</b>	Agency Type: [e.g., CDBG, PHA, TDHE/IHA] <b>NSP</b>	State: <b>CA</b>	LR2000 Agency ID #: (HUD Use Only)
<b>Period Covered: Check One and Enter Year(s)</b>			
<input type="checkbox"/> <b>Period 1:</b> October 1, _____ to March 31, _____		<input checked="" type="checkbox"/> <b>Period 2:</b> April 1, <b>2012</b> to September 30, <b>2012</b>	
Agency Contact Person: <b>Mark Hamilton</b>	Agency Contact Phone/E-mail: <b>(209) 385-6863 hamiltonm@cityofmerced.org</b>		

**PART II - ENFORCEMENT ACTIVITY\***

*Pertains to all projects, not just contract(s) awarded during the reporting period.*

4. Number of employers against whom **complaints** were received (list employers and projects involved below):

0

<b>Employer</b>	<b>Project(s)</b>
-----------------	-------------------

5. (a) Number of cases (employers) referred to HUD Labor Relations for investigation or §5.11 hearing (list referrals below):

0

- (b) Number of cases (employers) referred to the Department of Labor (DOL) for investigation or §5.11 hearing (list referrals below):

0

<b>Employer</b>	<b>Project</b>	<b>HUD or DOL</b>	<b>Invest. Or Hearing</b>
-----------------	----------------	-------------------	---------------------------

6. (a) **Number of workers for whom wage restitution was collected/disbursed:**  
*Report only once; if you previously reported workers for whom restitution was collected, do not report the same workers when funds are disbursed. Include workers to whom restitution was paid directly by the employer.*

0

- (b) **Total amount of straight time wage restitution collected/disbursed during this period:**  
*Report only once; if you report funds collected, do not report the disbursement. Include restitution amounts paid directly by the employer as reported on correction certified payrolls.*

\$0

- (c) **Total amount of CWHHSA overtime wage restitution collected/disbursed during this period:** *Report only once; if you report funds collected, do not report the disbursement. Include restitution amounts paid directly by the employer as reported on correction certified payrolls.*

\$0

- (d) **Total amount of liquidated damages collected:**

\$0

\* Use additional pages if necessary

Agency Name: <b>City of Merced</b>	Agency Type: <small>[e.g., CDBG, PHA, TDHE/IHA]</small> <b>CDBG/HOME/NSP</b>	State: <b>CA</b>	LR2000 Agency ID #: <small>(HUD Use Only)</small>
<b>Period Covered: Check One and Enter Year(s)</b>			
<input type="checkbox"/> <b>Period 1:</b> October 1, _____ to March 31, _____	<input checked="" type="checkbox"/> <b>Period 2:</b> April 1, <b>2012</b> to September 30, <b>2012</b>		
Agency Contact Person: <b>Mark Hamilton</b>	Agency Contact Phone/E-mail: <b>(209) 385-6863</b> <b>hamiltonm@cityofmerced.org</b>		

**PART I - CONTRACTING ACTIVITY\***  
*Pertains ONLY to projects awarded during the reporting period.*

1. Number of prime contracts subject to the Davis-Bacon and Related Acts (DBRA) and/or the Contract Work Hours and Safety Standards Act (CWHSSA) awarded this period 0  
 Note: Do not include contracts included in previous semi-annual reports

2. Total dollar amount of prime contracts reported in item 1 above \$0

3. List for each contract awarded this period:

Project Name/Number	Contract Amount	Wage Decision Number	Wage Decision Lock-In Date
<b>EXAMPLE:</b> "Boy's Club Renovation # CD54005-65"	"\$0,000,000.00"	"FL040001/Mod 3, 6/25/04, Building"	"07/02/04 bid open date" ◀ Lock
<b>None</b>			

\*Use additional pages if necessary

**WHAT IS THE LOCK-IN DATE?** For contracts entered into pursuant to competitive bidding procedures, the bid opening date "locks-in" the wage decision provided that the contract is awarded within 90 days. If the contract is awarded more than 90 days after bid opening, the contract award date "locks-in" the wage decision. For contracts, purchase orders or other agreements for which there is no bid opening or award date, use the construction start date as the lock-in date. However, for projects receiving assistance under Section 8 of the U.S. Housing Act of 1937 or contracts involving a project wage determination, the lock-in rules may vary from above. See Department of Labor Regulations, 29 CFR, Part 1, Section 1.6 and/or HUD Handbook 1344.1, or consult the HUD Labor Relations staff.

**WHAT IT ISN'T:** Do not use the wage decision publication date, unless that happens to correspond to one of the trigger events described above. If you are not sure about any of this, please feel free to contact the Labor Relations staff in your state or region.

Agency Name:

**City of Merced**

Agency Type:

[e.g., CDBG, PHA, TDHE/IHA]

**CDBG/HOME/NSP**

State:

**CA**

LR2000 Agency ID #:  
(HUD Use Only)

**Period Covered: Check One and Enter Year(s)**

**Period 1:** October 1, \_\_\_\_\_ to March 31, \_\_\_\_\_

**Period 2:** April 1, 2012 to September 30, 2012

Agency Contact Person:

**Mark Hamilton**

Agency Contact Phone/E-mail:

**(209) 385-6863**

**hamiltonm@cityofmerced.org**

**PART II - ENFORCEMENT ACTIVITY\***

*Pertains to all projects, not just contract(s) awarded during the reporting period.*

4. Number of employers against whom **complaints** were received (list employers and projects involved below):

**0**

**Employer**

**Project(s)**

5. (a) Number of cases (employers) referred to HUD Labor Relations for investigation or §5.11 hearing (list referrals below):

**0**

(b) Number of cases (employers) referred to the Department of Labor (DOL) for investigation or §5.11 hearing (list referrals below):

**0**

**Employer**

**Project**

**HUD or DOL**

**Invest. Or Hearing**

6. (a) **Number of workers for whom wage restitution was collected/disbursed:**

*Report only once; if you previously reported workers for whom restitution was collected, do not report the same workers when funds are disbursed. Include workers to whom restitution was paid directly by the employer.*

**0**

(b) **Total amount of straight time wage restitution collected/disbursed during this period:**

*Report only once; if you report funds collected, do not report the disbursement. Include restitution amounts paid directly by the employer as reported on correction certified payrolls.*

**\$0**

(c) **Total amount of CWHHSA overtime wage restitution collected/disbursed during this period:**

*Report only once; if you report funds collected, do not report the disbursement. Include restitution amounts paid directly by the employer as reported on correction certified payrolls.*

**\$0**

(d) **Total amount of liquidated damages collected:**

**\$0**

\* Use additional pages if necessary

# HOME MATCH REPORT

EXHIBIT E





Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

## Instructions for the HOME Match Report

### Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

### Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF  
Room 7176, HUD, 451 7th Street, S.W.  
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

### Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

### Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for re-placements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

**Ineligible forms of match include:**

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

CDBG GRANTEE PERFORMANCE  
FINANCIAL SUMMARY

(PR26)

EXHIBIT F



**PART I: SUMMARY OF CDBG RESOURCES**

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	379,104.89
02 ENTITLEMENT GRANT	950,304.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	319,684.00
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	263,260.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	(84,748.40)
08 TOTAL AVAILABLE (SUM, LINES 01-07)	1,827,604.49

**PART II: SUMMARY OF CDBG EXPENDITURES**

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	468,621.48
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	468,621.48
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	62,803.91
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	263,260.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	794,685.39
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	1,032,919.10

**PART III: LOWMOD BENEFIT THIS REPORTING PERIOD**

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	468,621.48
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	468,621.48
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

**LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS**

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2011 PY: 2012 PY: 2013
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	1,357,530.79
25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS	1,357,530.79
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	100.00%

**PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS**

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	121,538.29
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	28,836.54
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	150,374.83
32 ENTITLEMENT GRANT	950,304.00
33 PRIOR YEAR PROGRAM INCOME	87,269.30
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	1,037,573.30
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	14.49%

**PART V: PLANNING AND ADMINISTRATION (PA) CAP**

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	62,803.91
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	60,119.62
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	12,428.53
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	110,495.00
42 ENTITLEMENT GRANT	950,304.00
43 CURRENT YEAR PROGRAM INCOME	319,684.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	1,269,988.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	8.70%

**EXPLANATION OF ADJUSTMENTS**

**Line #07 ADJUSTMENT TO COMPUTE TOTAL**

Program Income Received PY 11/12, but not received in IDIS until PY 12/13	-\$86,086.97
Program Income Received PY 12/13, but not received in IDIS until PY 13/14	\$1,338.57
<b>LINE #07 TOTAL:</b>	<b>-\$84,748.40</b>

**LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17**

Report returned no data.

**LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18**

Report returned no data.

**LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19**

Plan Year	IDIS Project	IDIS Activity	Voucher	Activity Name	Matrix	National	Drawn Amount
2012	2	991	5502031	CODE ENFORCEMENT	15	LMA	\$17,483.16
2012	2	991	5505177	CODE ENFORCEMENT	15	LMA	\$87,500.00
2012	2	991	5579032	CODE ENFORCEMENT	15	LMA	\$25,000.00
2012	2	991	5579037	CODE ENFORCEMENT	15	LMA	\$7,516.84
2012	3	992	5502031	POLICE OFFICER / GRAFFITI ABATEMENT	05	LMA	\$39,777.00
2012	3	992	5505177	POLICE OFFICER / GRAFFITI ABATEMENT	05	LMA	\$26,518.00
2012	3	992	5519697	POLICE OFFICER / GRAFFITI ABATEMENT	05	LMA	\$13,259.00
2012	3	992	5579037	POLICE OFFICER / GRAFFITI ABATEMENT	05	LMA	\$26,334.88
2012	5	994	5505177	MERCED LAO FAMILY	05	LMC	\$1,159.69
2012	5	994	5579037	MERCED LAO FAMILY	05	LMC	\$2,568.70
2012	8	997	5505177	CDBG HOUSING PROGRAM OPERATIONS	14H	LMA	\$31,414.31
2012	8	997	5519697	CDBG HOUSING PROGRAM OPERATIONS	14H	LMA	\$10,768.01
2012	8	997	5579037	CDBG HOUSING PROGRAM OPERATIONS	14H	LMA	\$17,382.35
2012	9	998	5502031	ECONOMIC DEVELOPMENT	18B	LMA	\$50,001.00
2012	9	998	5505177	ECONOMIC DEVELOPMENT	18B	LMA	\$33,334.00
2012	9	998	5519697	ECONOMIC DEVELOPMENT	18B	LMA	\$16,667.00
2012	9	998	5579032	ECONOMIC DEVELOPMENT	18B	LMA	\$16,499.40
2012	9	998	5579037	ECONOMIC DEVELOPMENT	18B	LMA	\$33,517.12
2012	11	1000	5519697	THE POTTER'S PLACE	05H	LMC	\$5,000.00
2012	11	1000	5579037	THE POTTER'S PLACE	05H	LMC	\$4,500.00
2012	13	1002	5579037	MERCED COUNTY COMMUNITY ACTION AGENCY - RAPID	05	LMA	\$1,681.88
2012	14	1003	5579037	HEALTHY HOUSE	05A	LMC	\$739.14
<b>Total</b>							<b>\$468,621.48</b>

CDBG & CDBG-R ACTIVITY  
SUMMARY REPORT  
(PR03)

EXHIBIT G



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Activity Summary Report (GPR) for Program Year 2012  
 MERCED

Date: 19-Sep-2013  
 Time: 17:14  
 Page: 1

**PGM Year:** 2011  
**Project:** 0009 - POLICE OFFICER FUNDING  
**IDIS Activity:** 975 - POLICE OFFICER FUNDING

**Status:** Completed 9/27/2012 12:00:00 AM  
**Location:** MERCED MERCED, CA 95340

**Objective:** Create suitable living environments  
**Outcome:** Sustainability  
**Matrix Code:** Public Services (General) (05)      **National Objective:** LMA

**Initial Funding Date:** 10/11/2011

**Description:**  
 Partial funding for the support of additional police officers, which primary focus is gang activity prevention and intervention in low-income neighborhoods and school sites.

**Financing**  
 Funded Amount: 177,100.00  
 Drawn Thru Program Year: 177,100.00  
 Drawn In Program Year: 0.00

**Proposed Accomplishments**  
 People (General) : 3,000  
 Total Population in Service Area: 18,862  
 Census Tract Percent Low / Mod: 74.20

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2011	School Resource Officers conducted several presentations to high school students (1,000+ students) during the year and were involved in the Re-direct program, which is where they talk to the parents and the students (approximately 300 students) that are caught up in gangs. They have also conducted approximately 9 presentations which have been to both students and neighborhood watch meetings (approximately 500 students and the general public). The Police Department made over 1,000 arrests last year on gang affiliated subjects. The School Resource Officers made over 500 arrests on gang members. With AB109, the Police Department has seen more subjects released early and more subjects being sentenced to lesser punishments which is causing the gang problem to increase in the community.	

PGM Year: 2011

Project: 0008 - CODE ENFORCEMENT  
IDIS Activity: 976 - CODE ENFORCEMENT

Status: Completed 9/27/2012 12:00:00 AM  
Location: Scattered Sites Merced, CA 95340

Objective: Create suitable living environments  
Outcome: Sustainability  
Matrix Code: Code Enforcement (15)

National Objective: LMA

Initial Funding Date: 10/11/2011

**Financing**

Funded Amount: 240,000.00  
Drawn Thru Program Year: 240,000.00  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

Housing Units : 2,000  
Total Population in Service Area: 62,733  
Census Tract Percent Low / Mod: 55.10

Description:  
Provide partial funding for 2 Code Enforcement Specialists for Neighborhood intervention in order to forestall deterioration.

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2011	Code Enforcement Specialists responded to approximately 1,200 cases and received approximately 7,000 telephone calls for service. Complaints were regarding substandard housing, yard maintenance, abandoned vehicles, attractive nuisances and other municipal code violations. Code Enforcement is involved in Neighborhood Watch meetings, Quarterly Block Leader's meetings, City street fairs and other public events. Informational packets regarding protecting your family from lead-based paint, California Tenants and flyers regarding how the Housing Division can assist with lead-based paint abatement, emergency housing repairs and housing improvement are displayed and handed out at these meetings and events.	

PGM Year: 2011

Project: 0011 - CONTINUUM OF CARE PLAN (MCAG)  
IDIS Activity: 977 - MCAG / CONTINUUM OF CARE PLAN

Status: Completed 2/19/2013 12:00:00 AM  
Location:

Initial Funding Date: 10/11/2011

Financing  
Funded Amount: 37,500.00  
Drawn Thru Program Year: 37,500.00  
Drawn in Program Year: 12,428.53

Objective:  
Outcome:  
Matrix Code: Planning (20)

National Objective:

**Description:**

Funds used to coordinate & direct the Continuum of Care Project and Plan for the City and County of Merced and the 10-year Plan to end Homelessness. In addition to providing funding, a city representative serves on the Executive Council for the Continuum of Care helping to make decisions and set policies regarding the homeless in our community. The City's representative also assisted in the development of the 10-Year Plan to end Homelessness. This Activity for PY 1112 will be completed soon. The delay in completing this activity is due to late invoicing from the organization.

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households:

	Owner	Renter	Total	Person
Income Category:				
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2011

Project: 0012 - MERCED LAO FAMILY  
IDIS Activity: 978 - MERCED LAO FAMILY AFTER SCHOOL PROGRAM

Status: Completed 9/26/2012 12:00:00 AM  
Location: 855 W 15th St Merced, CA 95340-5801

Objective: Create suitable living environments  
Outcome: Sustainability

Matrix Code: Public Services (General) (05) National Objective: LMC

Initial Funding Date: 10/11/2011

Description: PARTIALLY FUND AN AFTER SCHOOL PROGRAM TARGETING AT-RISK YOUTH AGES 10-18.

Funded Amount: 7,100.00

Drawn Thru Program Year: 7,100.00

Drawn In Program Year: 0.00

**Proposed Accomplishments**

People (General) : 50

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	80	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>80</b>	<b>0</b>

Female-headed Households: 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	54
Low Mod	0	0	0	26
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	80
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2011	This program provided an avenue for low-income or at-risk youth to bridge cultural and generational gaps and strengthen family bonds in Southeast Asian families. Additionally, this program aided in keeping Southeast Asian youth from entering the ever-increasing gang activity in the City of Merced.	

PGM Year: 2011

Project: 0013 - HUD SECTION 108 LOAN (THE GROVE)

IDIS Activity: 979 - HUD SECTION 108 LOAN (THE GROVE)

Status: Completed 9/26/2012 12:00:00 AM

Location:

Objective:

Outcome:

Matrix Code:

Planned Repayment of Section 108 Loan Principal (19F)

National Objective:

Initial Funding Date: 10/11/2011

**Financing**

Funded Amount: 214,345.00

Drawn Thru Program Year: 214,345.00

Drawn In Program Year: 0.00

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:

White:

Black/African American:

Asian:

American Indian/Alaskan Native:

Native Hawaiian/Other Pacific Islander:

American Indian/Alaskan Native & White:

Asian White:

Black/African American & White:

American Indian/Alaskan Native & Black/African American:

Other multi-racial:

Asian/Pacific Islander:

Hispanic:

Total:

Female-headed Households:

Income Category:

Extremely Low

Low Mod

Moderate

Non Low Moderate

Total

Percent Low/Mod

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0	0	0	0	0	0	0	0

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2011

Project: 0004 - FAIR HOUSING

IDIS Activity: 980 - CENTRAL VALLEY COALITION (FAIR HOUSING)

Status: Completed 9/26/2012 12:00:00 AM

Location:

Objective:

Outcome:

Matrix Code: Fair Housing Activities (subject to 20%

Admin Cap) (21D)

National Objective:

Initial Funding Date: 10/11/2011

**Financing**

Funded Amount: 3,000.00

Drawn Thru Program Year: 3,000.00

Drawn In Program Year: 0.00

**Description:**

Provide Fair Housing services to low income families in Merced. operation of a Fair Housing hotline and referral service. Fair Housing educational workshops are provided at various locations within the city. Community outreach efforts are provided via quarterly mailings of information flyers, newsletters, and workshop advertisements to the over OVER 90 local service agencies, apartment complexes, and property management companies in Merced County. The toll-free hotline received a total of 32 calls from tenants and landlords with a variety of issues that they needed help or advice in resolving. No calls resulted in a Fair Housing Complaint being filed with HUD. Thirty-five (35) fair housing workshops at various locations were held. A total of 503 people attended these workshops.

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households:

Income Category:	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2011

Project: 0010 - PLANNING & URBAN DEVELOPMENT  
IDIS Activity: 981 - PLANNING & URBAN DEVELOPMENT

Status: Completed 9/27/2012 12:00:00 AM  
Location:

Objective:  
Outcome:  
Matrix Code: Planning (20)  
National Objective:

Initial Funding Date: 10/11/2011

Financing  
Funded Amount: 75,000.00  
Drawn Thru Program Year: 75,000.00  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>							

Female-headed Households:

	Owner	Renter	Total	Person
Income Category:				
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2011

Project: 0006 - INDIRECT ADMIN - CODE ENFORCEMENT  
IDIS Activity: 982 - INDIRECT ADMIN EXPENSE - CODE ENFORCEMENT

Status: Completed 9/27/2012 12:00:00 AM  
Location:

Objective:  
Outcome:  
Matrix Code: Indirect Costs (21B) National Objective:

Initial Funding Date: 10/13/2011  
Financing  
Funded Amount: 42,345.02  
Drawn Thru Program Year: 42,345.02  
Drawn In Program Year: 0.00

**Proposed Accomplishments**  
**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>							

Female-headed Households:

	Owner	Renter	Total	Person
Income Category:				
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2011

Project: 0005 - INDIRECT ADMIN EXPENSE  
IDIS Activity: 983 - INDIRECT ADMIN EXPENSE

Status: Completed 9/27/2012 12:00:00 AM  
Location:

Objective:  
Outcome:  
Matrix Code: Indirect Costs (21B) National Objective:

Initial Funding Date: 10/13/2011  
Financing  
Funded Amount: 43,220.00  
Drawn Thru Program Year: 43,220.00  
Drawn In Program Year: 0.00

**Proposed Accomplishments**  
**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2011

**Project:** 0007 - CDBG HOUSING PROGRAM OPERATIONS  
**IDIS Activity:** 984 - CDBG HOUSING PROGRAM OPERATIONS

**Status:** Completed 9/27/2012 12:00:00 AM  
**Location:** 678 W 18th St Merced, CA 95340-4708

**Initial Funding Date:** 10/13/2011

**Financing**

Funded Amount: 393,257.39  
Drawn Thru Program Year: 393,257.39  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

Organizations : 1  
Total Population in Service Area: 36,354  
Census Tract Percent Low / Mod: 71.30

**Annual Accomplishments**

**Years**

**Accomplishment Narrative**

2011

Staff and service delivery costs associated with implementation of various housing programs.

**# Benefitting**

**Objective:** Provide decent affordable housing  
**Outcome:** Affordability  
**Matrix Code:** Rehabilitation Administration (14H)      **National Objective:** LMA  
**Description:**  
STAFF AND SERVICE DELIVERY COSTS ASSOCIATED WITH IMPLEMENTATION OF VARIOUS HOUSING PROGRAMS.

PGM Year: 2011

Project: 0002 - HOUSING REHABILITATION  
IDIS Activity: 985 - 911 W. 14TH STREET

Status: Completed 7/5/2012 12:00:00 AM  
Location: 911 W 14th St Merced, CA 95340-5820

Objective: Provide decent affordable housing  
Outcome: Availability/accessibility  
Matrix Code: Rehab; Single-Unit Residential (14A) National Objective: LMH

Initial Funding Date: 10/13/2011

Financing  
Description: SINGLE FAMILY HOUSING REHABILITATION.

Funded Amount: 14,508.84  
Drawn Thru Program Year: 14,508.84  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

Housing Units : 1

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	1	1	0	0	1	1	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	1	0	1	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

**Annual Accomplishments**

Years  
Accomplishment Narrative  
2011 SINGLE FAMILY HOUSING REHABILITATION.

# Benefiting

PGM Year: 2011

Project: 0002 - HOUSING REHABILITATION  
IDIS Activity: 987 - 1775 W. 7TH STREET

Status: Completed 9/26/2012 12:00:00 AM  
Location: 1775 W 7th St Merced, CA 95341-5501

Objective: Provide decent affordable housing  
Outcome: Availability/accessibility  
Matrix Code: Rehab; Single-Unit Residential (14A)  
National Objective: LMH

Initial Funding Date: 11/28/2011

Financing Description: SINGLE FAMILY HOUSING REHABILITATION

Funded Amount: 24,079.39  
Drawn Thru Program Year: 24,079.39  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

Housing Units : 1

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	1	0	0	0	1	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
Female-headed Households:	1		0		1		0	

Income Category:

	Owner	Renter	Total	Person
Extremely Low	1	0	1	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

**Annual Accomplishments**

Years Accomplishment Narrative

2011 Provided low interest loan to qualifying homeowner for housing repairs.

# Benefitting

PGM Year: 2011

Project: 0002 - HOUSING REHABILITATION  
IDIS Activity: 989 - 650 SAN DIEGO CT.

Status: Completed 9/17/2012 12:00:00 AM  
Location: 650 San Diego Ct Merced, CA 95348-2223

Objective: Create suitable living environments  
Outcome: Sustainability  
Matrix Code: Lead-Based/Lead Hazard Test/Abate (14)  
National Objective: LMH

Initial Funding Date: 08/23/2012  
Financing

Funded Amount: 500.00  
Drawn Thru Program Year: 500.00  
Drawn In Program Year: 0.00

Proposed Accomplishments

Housing Units : 1

Actual Accomplishments

Number assisted:

	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	1	0	0	0	1	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>

Female-headed Households: 1

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	1	0	1	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

Annual Accomplishments

Years Accomplishment Narrative

2011 Lead based-paint inspection, consultation, and clearance for single family housing unit.

# Benefitting

PGM Year: 2012

Project: 0001 - FAIR HOUSING

IDIS Activity: 990 - CENTRAL VALLEY COALITION (FAIR HOUSING)

Status: Completed 8/26/2013 12:00:00 AM

Location:

Objective:

Outcome:

Matrix Code:

Fair Housing Activities (subject to 20% Admin Cap) (21D)

National Objective:

Initial Funding Date: 11/16/2012

Financing

Funded Amount: 3,000.00

Drawn Thru Program Year: 1,500.00

Drawn In Program Year: 1,500.00

Proposed Accomplishments

Actual Accomplishments

Number assisted:

White:

Black/African American:

Asian:

American Indian/Alaskan Native:

Native Hawaiian/Other Pacific Islander:

American Indian/Alaskan Native & White:

Asian White:

Black/African American & White:

American Indian/Alaskan Native & Black/African American:

Other multi-racial:

Asian/Pacific Islander:

Hispanic:

Total:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0

Female-headed Households:

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2012

Project: 0002 - CODE ENFORCEMENT

IDIS Activity: 991 - CODE ENFORCEMENT

Status: Completed 9/11/2013 12:00:00 AM  
Location: SCATTERED SITES MERCED, CA 95340

Objective: Create suitable living environments

Outcome: Sustainability

Matrix Code: Code Enforcement (15)

National Objective: LMA

Initial Funding Date: 11/16/2012

**Financing**

Funded Amount: 150,000.00

Drawn Thru Program Year: 137,500.00

Drawn In Program Year: 137,500.00

**Description:**

PARTIAL FUNDING FOR CITY CODE ENFORCEMENT SPECIALIST POSITIONS. THESE PERSONS WILL WORK IN LOW-INCOME NEIGHBORHOODS TO PROMOTE BUILDING REHABILITATION, AND TO DETECT LEAD BASED PAINT. IF LEAD BASED PAINT IS LOCATED, THEY WILL DISCUSS WITH THE HOUSEHOLD THE DANGERS OF LEAD BASED PAINT AND HOW THEY CAN RECEIVE HELP FROM THE CITY OF MERCED FOR ABATEMENT. COMMUNITY OUTREACH ABOUT LEAD BASED PAINT WILL ALSO BE OFFERED AT LOCAL EVENTS.

**Proposed Accomplishments**

Housing Units : 595

Total Population in Service Area: 19,697

Census Tract Percent Low / Mod: 78.40

**Annual Accomplishments**

Years

**Accomplishment Narrative**

2012

New cases have been opened dealing with substandard housing, premise maintenance, dangerous buildings, trash/debris and graffiti. While meeting with households regarding regular code enforcement issues, code enforcement officers look for lead based paint and if located, discussed the dangers of lead based paint with them. The household would also receive informational flyers regarding lead based paint concerns and potential programs that can assist with rehab, health and/or safety issues.

**# Benefiting**

PGM Year: 2012

Project: 0003 - POLICE OFFICER FUNDING

IDIS Activity: 992 - POLICE OFFICER / GRAFFITI ABATEMENT

Status: Completed 9/11/2013 12:00:00 AM

Location: MERCED MERCED, CA 95340

Objective: Create suitable living environments

Outcome: Sustainability

Matrix Code: Public Services (General) (05)

National Objective: LMA

Initial Funding Date: 11/16/2012

**Financing**

Funded Amount: 159,112.00

Drawn Thru Program Year: 105,888.88

Drawn In Program Year: 105,888.88

**Proposed Accomplishments**

People (General) : 20

Total Population in Service Area: 62,733

Census Tract Percent Low / Mod: 55.10

**Annual Accomplishments**

Years

**Accomplishment Narrative**

2012

**# Benefiting**

Partial Funding to the Merced Police Department for graffiti prevention and abatement. The program partnered with various groups and schools to educate the public and students about the effects on graffiti and the associated crime involved. In many cases, the crimes are considered gang-related as law enforcement begins to classify "tagging crews" as gangs. In an effort to reach a larger portion of the community, officers attended the Annual National Night Out event, held multiple Neighborhood Watch meetings throughout the City and used radio and television spots to discuss graffiti awareness. Local schools, neighborhoods and apartment complexes were visited to educate the attendees about gangs and graffiti. The Merced Police Department also coordinated and worked with students from one of the local high schools on a Graffiti Clean Up Day. Gang Awareness Presentations were also held at ten local schools throughout the year. The primary focus was on graffiti prevention intervention in low-income neighborhoods and school sites.



PGM Year: 2012

Project: 0005 - MERCED LAO FAMILY  
IDIS Activity: 994 - MERCED LAO FAMILY

Status: Open  
Location: 855 W 15th St Merced, CA 95340-5801

Objective: Create suitable living environments  
Outcome: Availability/accessibility

Matrix Code: Public Services (General) (05) National Objective: LMC

Initial Funding Date: 11/19/2012

Description: PARTIALLY FUND AN AFTER SCHOOL PROGRAM TARGETING AT-RISK YOUTH AGES 10 - 18. PROJECT TO COMPLETE PY 13-14.

Financing

Funded Amount: 8,223.82  
Drawn Thru Program Year: 3,728.39  
Drawn In Program Year: 3,728.39

Proposed Accomplishments

People (General) : 18

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	69	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>69</b>	<b>0</b>

Female-headed Households: 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	56
Low Mod	0	0	0	13
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	69
Percent Low/Mod				100.0%

Annual Accomplishments

2012

Accomplishment Narrative

During the fiscal year 2012-2013, a total of 69 youth registered to the Youth Cultural Activity Program. Program participants participated in twelve public performance events, six of which were Geej and 6 of which were dance. Shows were throughout the Central Valley and included the Himong New Year Celebration, Mental Health Awareness Day, community weddings and other such events.

PGM Year: 2012

Project: 0006 - CONTINUUM OF CARE PLAN (MCAG)  
IDIS Activity: 995 - MCAG / CONTINUUM OF CARE PLAN

Status: Completed 8/28/2013 12:00:00 AM  
Location:

Objective:  
Outcome:  
Matrix Code: Planning (20)  
National Objective:

Initial Funding Date: 03/26/2013

Financing  
Funded Amount: 35,000.00  
Drawn Thru Program Year: 0.00  
Drawn in Program Year: 0.00

**Proposed Accomplishments**  
**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households:

	Owner	Renter	Total	Person
Income Category:				
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2012  
**Project:** 0007 - INDIRECT ADMIN EXPENSE  
**IDIS Activity:** 996 - INDIRECT ADMIN EXPENSE

**Status:** Completed 8/30/2013 12:00:00 AM  
**Location:**

**Objective:**  
**Outcome:**  
**Matrix Code:** Indirect Costs (21B) **National Objective:**

**Initial Funding Date:** 11/19/2012  
**Financing**  
 Funded Amount: 25,495.00  
 Drawn Thru Program Year: 23,370.38  
 Drawn In Program Year: 23,370.38

**Proposed Accomplishments**  
**Actual Accomplishments**

**Description:**  
 FUNDING FOR ADMINISTRATIVE EXPENSES RELATED TO THE OPERATION OF CITY HOUSING PROGRAMS.

**Number assisted:**

	Owner	Renter	Total	Person
	Total	Total	Total	Total
White:	0	0	0	0
Black/African American:	0	0	0	0
Asian:	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0
Asian White:	0	0	0	0
Black/African American & White:	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0
Other multi-racial:	0	0	0	0
Asian/Pacific Islander:	0	0	0	0
Hispanic:	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0

**Income Category:**

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**  
 No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2012

Project: 0008 - CDBG HOUSING PROGRAM OPERATIONS  
IDIS Activity: 997 - CDBG HOUSING PROGRAM OPERATIONS

Status: Completed 9/11/2013 12:00:00 AM  
Location: 678 W 18th St Merced, CA 95340-4708

Objective: Provide decent affordable housing  
Outcome: Affordability  
Matrix Code: Rehabilitation Administration (14H) National Objective: LMA

Initial Funding Date: 11/19/2012

Financing  
Funded Amount: 102,922.40  
Drawn Thru Program Year: 59,564.67  
Drawn In Program Year: 59,564.67

**Proposed Accomplishments**

Organizations : 1  
Total Population in Service Area: 62,733  
Census Tract Percent Low / Mod: 55.10

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2012	Funds used for staff and service delivery costs associated with implementation of various housing programs.	

PGM Year: 2012

Project: 0009 - ECONOMIC DEVELOPMENT  
IDIS Activity: 998 - ECONOMIC DEVELOPMENT

Status: Open  
Location: 678 W 18th St Merced, CA 95340-4708

Objective: Create economic opportunities  
Outcome: Availability/accessibility  
Matrix Code: ED Technical Assistance (18B) National Objective: LMA

Initial Funding Date: 11/26/2012

Financing  
Funded Amount: 200,000.00  
Drawn Thru Program Year: 150,018.52  
Drawn In Program Year: 150,018.52

**Proposed Accomplishments**

Businesses : 1  
Total Population in Service Area: 62,733  
Census Tract Percent Low / Mod: 55.10

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2012	<p>JULY 2012 - Staff met with an industrial site consultant in riverside and reviewed potential industrial projects. Staff was in discussion with a frozen food processor who is interested in moving to Merced. Staff also met with a consultant representing a grocery store network.</p> <p>AUGUST 2012 - Staff announced the expansion of a frozen food processor that will expand and establish a new food processing plant in Merced to process locally grown vegetables.</p> <p>SEPTEMBER 2012 - Staff worked with the Planning Department on a Zoning Ordinance with a particular focus on neighborhood shopping centers. The new designation would help facilitate and pre-entitle the subject site in South Merced for a grocery anchored market.</p> <p>OCTOBER 2012 thru NOVEMBER 2012 - The subject site for a grocery store in South Merced was re-zoned from single family residential and low-medium density residential to a shopping center district. The grocery store developer is working on a set of plans for preliminary review. The phasing calls first for construction of a grocery store followed by pad development. Staff is seeking funding for off-site improvements including sidewalk, curb, gutter, street lights, and a traffic signal at the intersection.</p> <p>DECEMBER 2012 - Staff met with a realtor representing retailers and service providers. The realtor was seeking information on existing building space and building permit turnaround time.</p> <p>JANUARY 2012 thru FEBRUARY 2012 - Staff attended the California League of Food Processors Expo in Sacramento and the world's largest Agricultural Expo in Tulare. Met with a number of local, regional, and national companies with the hope of promoting business attraction or expansions.</p> <p>MARCH 2013 - Staff responded to a request for information from a Florida based health service provider. Economic Development arranged 11 appointments and staffed a booth at the International Council of Shopping Centers Idea Exchange. The program has resulted in 4 site tours and three meetings with retail brokers, leasing agents, and retailers. Special attention was given to the South Merced grocery store development opportunity.</p> <p>APRIL 2013 - Staff attended the Hispanic Retail Market Network meeting in Oakland, CA. Special emphasis was placed on the South Merced grocery store development opportunity. Staff attended the ICSC Next Generation and met with representatives from Terranomics Retail Services, Staubach Retail Services, Zapolski Real Estate, and Colliers International. Staff was contacted by a Valley based company regarding use of the former vacant Pepsi building.</p> <p>MAY 2013 thru JUNE 2013 - Staff attended the Society of Industrial and Office Realtors International Conference. Contact was made with more than 30 industrial and office professionals representing a number of brokerages and specialized consultants. As part of Team California, staff attended the ICSC National Convention in Las Vegas. Staff met the three agents representing grocery stores with one specializing in the Asian market and another in the Hispanic market. The Scholle Corporation is undergoing an extensive facility expansion. The first expansion will involve re-tooling the plant making it more efficient and lean. Upon completion of the first phase, the plant will build a new warehouse of 45,000 square feet.</p> <p>PROJECT TO CONTINUE IN PY 13/14.</p>	

PGM Year: 2012

Project: 0010 - MGT OF AMERICA, INC.  
IDIS Activity: 999 - MGT OF AMERICA, INC.

Status: Completed 9/11/2013 12:00:00 AM  
Location:

Objective:  
Outcome:  
Matrix Code: Planning (20)  
National Objective:

Initial Funding Date: 11/26/2012

Financing  
Funded Amount: 17,500.00  
Drawn Thru Program Year: 8,750.00  
Drawn In Program Year: 8,750.00

**Proposed Accomplishments**  
**Actual Accomplishments**

**Number assisted:**

	Owner		Renter		Total	
	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0
Asian:	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Female-headed Households:**

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2012

Project: 0011 - THE POTTER'S PLACE  
IDIS Activity: 1000 - THE POTTER'S PLACE

Status: Open  
Location: 1057 N STREET MERCED, CA 95341

Objective: Create economic opportunities  
Outcome: Sustainability  
Matrix Code: Employment Training (05H)

National Objective: LMC

Initial Funding Date: 01/14/2013

**Financing**

Funded Amount: 10,000.00  
Drawn Thru Program Year: 9,500.00  
Drawn In Program Year: 9,500.00

**Description:**  
Hands-Up Training Program: Provide training and skills to youth, recovering addicts, parolees/probationers, or any unemployed individuals who desire to receive training and learn vital skills of landscaping: irrigation systems; concreting, and the proper preparation/installation in planting of various plants. This training will enable them to learn a trade to work for a company, apprenticeship or establish their own business in the above mentioned categories of the job market.  
Project to complete FY 13-14.

**Proposed Accomplishments**

People (General) : 10

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	6	6
Black/African American:	0	0	0	0	0	0	9	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	8	8
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>23</b>	<b>14</b>

Female-headed Households: 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	9
Low Mod	0	0	0	14
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	23
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years: 2012

**Accomplishment Narrative**

FUNDS WERE DRAWN TO ASSIST WITH PURCHASING BUILDING MATERIALS NECESSARY TO TRAIN INDIVIDUALS IN THE CONSTRUCTION JOB TRADE. DUE TO ABNORMAL WEATHER CONDITIONS, CONSTRUCTION WAS DELAYED AFTER THE BUILDING MATERIALS WERE PURCHASED. THE HAND-UP PROJECT CONSISTED OF PRUNING TREES, INSTALLING SOD, PLANTING LANDSCAPE MATERIAL, INSTALLING IRRIGATION SYSTEMS, PAINTING PARKING LOT. ACTIVITY TO BE CONTINUED IN PY 13/14.

**# Benefiting**

PGM Year: 2012

Project: 0012 - MCAG - HOMELESSNESS STUDY  
IDIS Activity: 1001 - MCAG - HOMELESSNESS STUDY

Status: Open  
Location:

Objective:  
Outcome:  
Matrix Code: Planning (20) National Objective:

Initial Funding Date: 03/26/2013

**Financing**  
Funded Amount: 2,500.00  
Drawn Thru Program Year: 0.00  
Drawn In Program Year: 0.00

**Proposed Accomplishments**  
**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total	
	Hispanic	Total	Hispanic	Total	Hispanic	Total
White:		0		0		0
Black/African American:		0		0		0
Asian:		0		0		0
American Indian/Alaskan Native:		0		0		0
Native Hawaiian/Other Pacific Islander:		0		0		0
American Indian/Alaskan Native & White:		0		0		0
Asian White:		0		0		0
Black/African American & White:		0		0		0
American Indian/Alaskan Native & Black/African American:		0		0		0
Other multi-racial:		0		0		0
Asian/Pacific Islander:		0		0		0
Hispanic:		0		0		0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

*Female-headed Households:*

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2012

**Project:** 0013 - MERCED COUNTY COMMUNITY ACTION AGENCY

**IDIS Activity:** 1002 - MERCED COUNTY COMMUNITY ACTION AGENCY - RAPID RE-HOUSING

**Status:** Completed 8/29/2013 12:00:00 AM  
**Location:** MULTIPLE ADDRESSES MERCED, CA 95340

**Objective:** Create suitable living environments  
**Outcome:** Affordability  
**Matrix Code:** Public Services (General) (05)      **National Objective:** LMA

**Initial Funding Date:** 03/27/2013

**Description:**  
RAPID RE-HOUSING PROGRAM TO PROVIDE EMERGENCY EVICTION PREVENTION ASSISTANCE TO FAMILIES THAT MEET LOW INCOME ELIGIBLE REQUIREMENTS.

**Financing**  
Funded Amount: 19,762.13  
Drawn Thru Program Year: 1,681.88  
Drawn In Program Year: 1,681.88

**Proposed Accomplishments**

People (General) : 10  
Total Population in Service Area: 62,733  
Census Tract Percent Low / Mod: 55.10

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2012	RAPID RE-HOUSING PROGRAM PROVIDED EMERGENCY EVICTION PREVENTION ASSISTANCE TO 16 FAMILIES THAT MET LOW INCOME ELIGIBLE REQUIREMENTS.	

PGM Year: 2012

Project: 0014 - HEALTHY HOUSE  
IDIS Activity: 1003 - HEALTHY HOUSE

Status: Open  
Location: 1729 Canal St Merced, CA 95340-4810

Objective: Create suitable living environments  
Outcome: Affordability  
Matrix Code: Senior Services (05A) National Objective: LMC

Initial Funding Date: 03/27/2013

Financing  
Funded Amount: 3,000.00  
Drawn Thru Program Year: 739.14  
Drawn In Program Year: 739.14

**Proposed Accomplishments**

People (General) : 24

**Actual Accomplishments**

**Number assisted:**

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	24	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>24</b>	<b>0</b>

Female-headed Households: 0

**Income Category:**

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	24
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	24
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2012	Healthy House Hmong Language & Cultural Specialists were trained to implement the program, and they proceeded to do outreach and education to Southeast Asian Elders in the community. APRIL - JUNE 2013: a total of 24 transportation passes have been distributed and/or renewed for over a dozen Hmong Elders with serious or chronic health issues. This program has been very successful and the demand for transportation assistance for ethnic elders continues to grow as public awareness of the program has increased.	

**PGM Year:** 2012

**Project:** 0015 - CALVARY TEMPLE

**IDIS Activity:** 1004 - CALVARY TEMPLE

**Status:** Canceled 5/1/2013 12:00:00 AM

**Location:** 2740 N Highway 59 Merced, CA 95348-4345

**Initial Funding Date:** 03/29/2013

**Financing**

Funded Amount: 0.00

Drawn Thru Program Year: 0.00

Drawn In Program Year: 0.00

**Proposed Accomplishments**

People (General) : 5

Total Population in Service Area: 62,733

Census Tract Percent Low / Mod: 55.10

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**Objective:** Create suitable living environments

**Outcome:** Sustainability

**Matrix Code:** Public Services (General) (05)

**National Objective:** LMA

**Description:**

ADMINISTRATION OF BUDGETING AND COOKING ON A BUDGET COURSE FOR LOW TO VERY LOW INCOME RESIDENTS AND THE HOMELESS. THIS ACTIVITY IS BEING CANCELED DUE TO THE ORGANIZATION BEING UNABLE TO EXECUTE THE PROGRAM THIS PY.

PGM Year: 2012  
 Project: 0016 - THE POTTERS PLACE  
 IDIS Activity: 1005 - THE POTTERS PLACE - HANDS UP PROJECT

Status: Canceled 4/29/2013 12:00:00 AM  
 Location: 1057 N St Merced, CA 95341-5962

Objective: Create economic opportunities  
 Outcome: Sustainability  
 Matrix Code: Employment Training (05H) National Objective: LMCSV

Initial Funding Date: 03/29/2013  
 Financing  
 Funded Amount: 0.00  
 Drawn Thru Program Year: 0.00  
 Drawn In Program Year: 0.00

Proposed Accomplishments

People (General) : 1

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0		0	

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2012

Project: 0017 - MERCED COUNTY RESCUE MISSION  
IDIS Activity: 1006 - MERCED COUNTY RESCUE MISSION

Status: Completed 8/28/2013 12:00:00 AM  
Location: 1921 Canal St Merced, CA 95340-3725

Objective: Create suitable living environments  
Outcome: Availability/accessibility  
Matrix Code: Public Services (General) (05)

National Objective: LMA

Initial Funding Date: 03/29/2013

Description:

TO ASSIST IN PROVIDING A WARMING SHELTER IN MERCED FOR THE HOMELESS FROM DECEMBER 2012 - MARCH 2013.

Funded Amount: 3,500.00  
Drawn Thru Program Year: 0.00  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

People (General) : 20  
Total Population in Service Area: 62,733  
Census Tract Percent Low / Mod: 55.10

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefiting
2012	Provided shelter to the local homeless population during the winter months of December 2012 - March 2013. Provided shelter to single individuals and families with children. All individuals that seeked shelter were provided the additional services from other organizations in the community.	

PGM Year: 2012

Project: 0018 - SIERRA SAVING GRACE  
IDIS Activity: 1007 - SIERRA SAVING GRACE

Status: Canceled 5/1/2013 12:00:00 AM  
Location: 3055 Marie Ct Merced, CA 95340-2657

Initial Funding Date: 03/29/2013

Financing  
Funded Amount: 0.00  
Drawn Thru Program Year: 0.00  
Drawn In Program Year: 0.00

**Proposed Accomplishments**

People (General) : 4

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>							

Female-headed Households: 0

**Income Category:**

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

Objective: Create suitable living environments  
Outcome: Availability/accessibility  
Matrix Code: Handicapped Services (05E) National Objective: LMC  
**Description:**  
TRANSITIONAL HOUSING PROJECT ADDRESSING A POPULATION OF CHRONICALLY HOMELESS WITH DISABLING MEDICAL CONDITIONS THAT REDUCES THEIR CHANCES OF SURVIVAL ON THE STREET. THIS ACTIVITY IS CANCELED DUE TO NON-COMPLIANCE.

PGM Year: 2012

Project: 0019 - SHAFFER BUILDING FEASIBILITY STUDY  
IDIS Activity: 1008 - SHAFFER BUILDING FEASIBILITY STUDY

Status: Open  
Location:

Objective:  
Outcome:  
Matrix Code: Planning (20)  
National Objective:

Initial Funding Date: 04/30/2013

**Financing**  
Funded Amount: 20,000.00  
Drawn Thru Program Year: 16,755.00  
Drawn In Program Year: 16,755.00

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>							

Female-headed Households:

	Owner	Renter	Total	Person
Income Category:				
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2012  
**Project:** 0020 - MERCED LAO FAMILY TRANSLATION SERVICES  
**IDIS Activity:** 1009 - MERCED LAO FAMILY TRANSLATION SERVICES

**Status:** Open  
**Location:** .  
**Objective:**  
**Outcome:**  
**Matrix Code:** Public Information (21C) **National Objective:**

**Initial Funding Date:** 05/01/2013  
**Financing**  
 Funded Amount: 7,000.00  
 Drawn Thru Program Year: 0.00  
 Drawn In Program Year: 0.00

**Proposed Accomplishments**

**Actual Accomplishments**

**Number assisted:**

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>							

Female-headed Households: 0

**Income Category:**

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**Total Funded Amount:** \$2,302,230.99  
**Total Drawn Thru Program Year:** \$2,054,212.50  
**Total Drawn In Program Year:** \$794,685.39

CDBG & HOME  
SUMMARY OF ACCOMPLISHMENTS  
(PR23)

EXHIBIT H



Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

MERCED

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Economic Development	ED Technical Assistance (18B)	1	\$150,018.52	0	\$0.00	1	\$150,018.52
Housing	<b>Total Economic Development</b>	<b>1</b>	<b>\$150,018.52</b>	<b>0</b>	<b>\$0.00</b>	<b>1</b>	<b>\$150,018.52</b>
	Rehab; Single-Unit Residential (14A)	0	\$0.00	2	\$0.00	2	\$0.00
	Rehabilitation Administration (14H)	0	\$0.00	2	\$59,564.67	2	\$59,564.67
	Lead-Based/Lead Hazard Test/Abate (14I)	0	\$0.00	1	\$0.00	1	\$0.00
	Code Enforcement (15)	0	\$0.00	2	\$137,500.00	2	\$137,500.00
Public Services	<b>Total Housing</b>	<b>0</b>	<b>\$0.00</b>	<b>7</b>	<b>\$197,064.67</b>	<b>7</b>	<b>\$197,064.67</b>
	Public Services (General) (05)	1	\$3,728.39	6	\$107,570.76	7	\$111,299.15
	Senior Services (05A)	1	\$739.14	0	\$0.00	1	\$739.14
	Handicapped Services (05B)	0	\$0.00	1	\$0.00	1	\$0.00
	Employment Training (05H)	1	\$9,500.00	1	\$0.00	2	\$9,500.00
General Administration and Planning	<b>Total Public Services</b>	<b>3</b>	<b>\$13,967.53</b>	<b>8</b>	<b>\$107,570.76</b>	<b>11</b>	<b>\$121,538.29</b>
	Planning (20)	2	\$16,755.00	4	\$21,178.53	6	\$37,933.53
	Indirect Costs (21B)	0	\$0.00	3	\$23,370.38	3	\$23,370.38
	Public Information (21C)	1	\$0.00	0	\$0.00	1	\$0.00
	Fair Housing Activities (subject to 20% Admin Cap) (21D)	0	\$0.00	2	\$1,500.00	2	\$1,500.00
Repayment of Section 108 Loans	<b>Total General Administration and Planning</b>	<b>3</b>	<b>\$16,755.00</b>	<b>9</b>	<b>\$46,048.91</b>	<b>12</b>	<b>\$62,803.91</b>
	Planned Repayment of Section 108 Loan Principal (19F)	0	\$0.00	2	\$263,260.00	2	\$263,260.00
<b>Grand Total</b>	<b>Total Repayment of Section 108 Loans</b>	<b>0</b>	<b>\$0.00</b>	<b>2</b>	<b>\$263,260.00</b>	<b>2</b>	<b>\$263,260.00</b>
		<b>7</b>	<b>\$180,741.05</b>	<b>26</b>	<b>\$613,944.34</b>	<b>33</b>	<b>\$794,685.39</b>



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2012

DATE: 09-12-13  
 TIME: 13:00  
 PAGE: 2

MERCED

**CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type**

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals
Economic Development	ED Technical Assistance (18B)	Business	62,733	0	62,733
Housing	<b>Total Economic Development</b>		<b>62,733</b>	<b>0</b>	<b>62,733</b>
	Rehab; Single-Unit Residential (14A)	Housing Units	0	2	2
	Rehabilitation Administration (14H)	Organizations	0	99,087	99,087
	Lead-Based/Lead Hazard Test/Abate (14I)	Housing Units	0	1	1
	Code Enforcement (15)	Housing Units	0	82,430	82,430
Public Services	<b>Total Housing</b>		<b>0</b>	<b>181,520</b>	<b>181,520</b>
	Public Services (General) (05)	Persons	69	207,141	207,210
	Senior Services (05A)	Persons	24	0	24
	Employment Training (05H)	Persons	23	0	23
<b>Grand Total</b>	<b>Total Public Services</b>		<b>116</b>	<b>207,141</b>	<b>207,257</b>
			<b>62,849</b>	<b>388,661</b>	<b>451,510</b>



MERCED

**CDBG Beneficiaries by Racial / Ethnic Category**

Housing-Non Housing	Race	Total Persons	Total Hispanic Persons	Total Hispanic Households	Total Hispanic Households
Housing	White	0	0	1	0
	Black/African American	0	0	1	0
	Other multi-racial	0	0	1	0
	<b>Total Housing</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>
Non Housing	White	6	6	0	0
	Black/African American	9	0	0	0
	Asian	173	0	0	0
	Other multi-racial	8	8	0	0
<b>Total Non Housing</b>	<b>196</b>	<b>14</b>	<b>0</b>	<b>0</b>	
Grand Total	White	6	6	1	0
	Black/African American	9	0	1	0
	Asian	173	0	0	0
	Other multi-racial	8	8	1	1
<b>Total Grand Total</b>	<b>196</b>	<b>14</b>	<b>3</b>	<b>1</b>	



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2012

DATE: 09-12-13  
 TIME: 13:00  
 PAGE: 4

MERCED

**CDBG Beneficiaries by Income Category**

Income Levels	Owner Occupied	Renter Occupied	Persons
Non Housing	0	0	65
Extremely Low (<=30%)	0	0	51
Low (>30% and <=50%)	0	0	0
Mod (>50% and <=80%)	0	0	116
Total Low-Mod	0	0	0
Non Low-Mod (>80%)	0	0	0
Total Beneficiaries	0	0	116



MERCED  
 Home Disbursements and Unit Completions

Activity Type	Disbursed Amount	Units Completed	Units Occupied
Rentals	\$175,262.06	12	4
First Time Homebuyers	\$425.76	1	1
Total, Rentals and TBRA	\$175,262.06	12	4
Total, Homebuyers and Homeowners	\$425.76	1	1
<b>Grand Total</b>	<b>\$175,687.82</b>	<b>13</b>	<b>5</b>

Home Unit Completions by Percent of Area Median Income

Activity Type	31% - 50%	51% - 60%	61% - 80%	Total 0% - 60%	Total 0% - 80%	Units Completed
Rentals	3	1	0	4	4	4
First Time Homebuyers	0	0	1	0	1	1
Total, Rentals and TBRA	3	1	0	4	4	4
Total, Homebuyers and Homeowners	0	0	1	0	1	1
<b>Grand Total</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>4</b>	<b>5</b>	<b>5</b>

Home Unit Reported As Vacant

Activity Type	Reported as Vacant
Rentals	8
First Time Homebuyers	0
Total, Rentals and TBRA	8
Total, Homebuyers and Homeowners	0
<b>Grand Total</b>	<b>8</b>



MERCED

Home Unit Completions by Racial / Ethnic Category

	Rentals		First Time Homebuyers		Grand Total
	Units Completed	Hispanics Completed	Units Completed	Hispanics Completed	
White	3	3	0	0	6
Black/African American	1	0	0	0	1
American Indian/Alaskan Native	0	0	1	1	2
<b>Total</b>	<b>4</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>9</b>

	Total, Rentals and TBRA		Total, Homebuyers and Homeowners		Grand Total
	Units Completed	Hispanics Completed	Units Completed	Hispanics Completed	
White	3	3	0	0	6
Black/African American	1	0	0	0	1
American Indian/Alaskan Native	0	0	1	1	2
<b>Total</b>	<b>4</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>9</b>

# CAPER PUBLIC HEARING NOTICE

EXHIBIT I

# Declaration of Publication

(2015.5 C.C.P)

STATE OF CALIFORNIA )  
 ) ss.  
County of Merced )

I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am the principal clerk of the printer of the Merced Sun-Star, a newspaper of general circulation, printed and published in the City of Merced, County of Merced, and which newspaper has been adjudged a newspaper of general circulation by the Superior Court of the County of Merced, State of California, under the date of July 14, 1964, Case Number 33224 that the notice, of which the annexed is a printed copy, has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

AUGUST 16,  
2013

I certify (or declare) under penalty of perjury that the foregoing is true and correct.

Signature

Date: AUGUST 16, 2013

**PUBLIC NOTICE FOR HOUSING AND URBAN DEVELOPMENT CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)**  
A Hearing will be held before the Merced City Council at 7:00 P.M. on Monday, September 16, 2013, to review the Consolidated Annual Performance and Evaluation Report (CAPER) for the Fiscal Year 2012/13. At that meeting, input will be taken from the public regarding the CAPER and the revised expenditure recommendations for Community Development Block Grant (CDBG) funds.  
The CAPER document summarizes how the City spent Federal funds on affordable housing and community service projects, and programs to benefit low and moderate income residents. Please feel free to pick up a copy at the City of Merced Housing Program, third floor, Merced Civic Center, 678 W. 18th Street. Spanish and Hmong access to the document is available upon request.  
The City of Merced's HUD Consolidated Annual Performance and Evaluation Report (CAPER) is for the period of July 1, 2012 through June 30, 2013. A draft version of this document will be available from September 3, 2013 to September 16, 2013 for review and comment. For additional information, please contact the City of Merced Housing Program at (209) 385-6863 or e-mail [hamiltonm@cityofmerced.org](mailto:hamiltonm@cityofmerced.org).  
The City of Merced is seeking input from citizens regarding whether the City was able to achieve the Housing Goals and Outcomes identified in the 2012 HUD Annual Action Plan for the Fiscal Year 2012/13. A public meeting will be held on August 29, 2013.  
The neighborhood meeting is scheduled at location shown below:  
Date Time Location  
Thursday, August 29, 2013  
6:00 p.m. Sam Pipes Room,  
Merced City Hall, 678 West 18th Street  
For special accommodations (i.e. translators) and more information, please call the City's Housing Program at (209) 385-6863.  
SS 154368 Aug 16, 2013

**PROOF OF PUBLICATION  
(2015.5 C.C.P)  
Proof of Publication of**

STATE OF CALIFORNIA)

)ss.  
)

COUNTY OF MERCED

I am a citizen of the United States and a resident of the county aforesaid; I am over the age of eighteen years, and not a party to or interested in the above-entitled matter. I am the printer foreman or principal clerk of The Merced County Times, a newspaper of general circulation, printed and published in the City of Merced, County of Merced, and which newspaper has been adjudged a newspaper of general circulation by the Superior Court of the County of Merced, State of California, under the date of December 14, 1999, Case Number 143600; that the notice, of which the annexed is a printed copy has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to-wit:

**August 22, 2013**

**PUBLIC NOTICE**

I certify (or declare) under penalty of perjury that the foregoing is true and correct.

*Nicko Frago*

Date: August 22, 2013

Proof of Publication - Merced County Times, 2221 K St.,

Adjudged a newspaper of general circulation by court decree No. 143600.

This space is for the County Clerk's  
Filing Stamp

Copy of notice here

**LEGAL # 7956**

**PUBLIC NOTICE FOR HOUSING AND URBAN  
DEVELOPMENT CONSOLIDATED ANNUAL  
PERFORMANCE AND EVALUATION REPORT  
(CAPER)**

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ADMINISTRATIVE REPORT  
AND  
RESOLUTION

EXHIBIT J

## Novus AGENDA

Agenda Item:L.2  
Meeting Date:9/16/2013

ADMINISTRATIVE REPORT

 Print

TO: City Manager  
FROM: Mark E. Hamilton, Housing Program Specialist II  
DATE: 09/10/2013  
SUBJECT: Public Hearing - Consolidated Annual Performance and Evaluation [CAPER] Report

**REPORT IN BRIEF**

Approve final 2012/2013 Program Year Consolidated Annual Performance Report [CAPER].

RECOMMENDATION:**City Council -**

A. Adopt **Resolution 2013-45** - a Resolution of the City Council of the City of Merced, California, approving the 2012-2013 Program Year Consolidated Annual Performance and Evaluation Report; and

B. Adopt a motion authorizing the City Manager to make minor modifications to the final CAPER document as necessary to implement the City Council's actions.

ALTERNATIVES:

1. Approve, subject to other than recommended by staff; or,
2. Deny; or,
3. Refer to staff for reconsideration of specific items (specific items to be addressed in

City Council motion); or,

4. Continue to a future City Council meeting (date and time to be specified in City Council motion).

AUTHORITY:

Charter of the City of Merced, Section 405, 409, and 411 et seq of the City of Merced and Pursuant to 24 CFR 91.520, the City will submit the CAPER to the Department of Housing and Urban Development (HUD).

DISCUSSION:

The Consolidated Annual Performance Report (CAPER) is a report card describing activities and accomplishments that were listed in the City of Merced's HUD Annual Action Plan-FY 2012/13, and catalogs additional activities funded through non-Federal sources. A variety of funding sources with differing guidelines are used to achieve the community development goals outlined by the City of Merced in its Consolidated Plan and Annual Action Plan. Guidelines for each program dictate how the funds may be spent. These programs include:

- Community Development Block Grant (CDBG) (Federal)
- HOME Investment Partnerships Program (HOME) (Federal)
- Program Income (from State Home Funds Program loans)
- HOME and CDBG program income from previous loans
- Neighborhood Stabilization Program (NSP) - (Federal and State)

The common goal of these programs is to make Merced a more livable community. Significant resources are used to assist people in enjoying decent, safe, and affordable housing.

Other community development efforts target community public safety, youth programs, recreation, public facilities, economic development, and neighborhood revitalization. The Annual Action Plan outlines activities and programs, provides descriptions with goals and funding, and sets desired outcomes. The CAPER gives an overview of these programs with results of actual funds spent and goals met. In addition, the plan provides staff an opportunity to highlight changes and improvements to the program during the 2012-13 fiscal year.

History and Past Actions:

Each year the City of Merced prepares an Annual Action Plan for submission to the U.S. Department of Housing and Urban Development (HUD). The framework for the Annual Action Plan finds its roots in the City of Merced 2010 Consolidated Plan.

The Consolidated Plan is a five-year planning document, outlining the City's strategy for pursuing federal, state, and local resources to meet housing and community development needs of low and moderate income residents. The City Council approves submission of the HUD Annual Action Plan after a public hearing. In years past, Housing staff would submit the attached HUD Consolidated Annual Performance and Evaluation Report (CAPER) to the Council in the Council Information Packet. The draft version of the CAPER was made available for public review in the

Housing Division beginning August 16, 2013 and posted on the Housing Division's section of the City's website.

The CAPER is generally viewed as a report card for how the City performed in executing its Action Plan and current 5-year (2010-2015) Consolidated Plan.

Description:

A sample of program accomplishments from the previous year include:

- The Housing Division appropriated approximately \$4 Million dollars to the CDBG, HOME, NSP3, NSP1 and CalHOME06 activities.
- With funds from NSP Grants Housing was able to purchase 4 foreclosed homes, rehabbed 8 homes, and resold 9 homes.
- Provided 10 grants to community non-profit organizations.
- Provided Financial Assistance to Gateway Terrace Apartments.

More accomplishments are found in the attached CAPER Report which will be hand delivered to Council on Thursday September 12, 2013.

Budget/Appropriate Action:

No budget action is required.

Respectfully Submitted,  
Mark E. Hamilton, Housing Program  
Specialist II

Reviewed,  
Frank Quintero, Economic Development  
Director

Approved By,  
John M. Bramble, City Manager

**ATTACHMENTS:**

Resolution

**RESOLUTION NO. 2013- 45**

**A RESOLUTION OF THE CITY COUNCIL OF  
THE CITY OF MERCED, CALIFORNIA,  
APPROVING THE 2012- 2013 PROGRAM YEAR  
CONSOLIDATED ANNUAL PERFORMANCE  
AND EVALUATION REPORT**

WHEREAS, The City of Merced operated the Community Development Block Grant Program (CDBG), and the Home Investment Partnerships Grant Program (HOME) for the 2012-2013 Program Year; and,

WHEREAS, The City is required to submit a Consolidated Annual Performance and Evaluation Report (CAPER) to the U.S. Department of Housing and Urban Development (HUD) for the activities and expenditures for the 2012-2013 Program Year; and,

WHEREAS, The City must also certify that it is complying with HUD requirements for the use of CDBG and HOME funds; and,

WHEREAS, The City spent \$2.83 million dollars in CDBG and HOME funds during the 2012-2013 Program Year; 100% of the funds were used to assist households with incomes at or below 120% of median income; and,

WHEREAS, The City Manager is the certifying officer for all HUD reports and transactions.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF MERCED DOES HEREBY RESOLVE, DETERMINE, FIND, AND ORDER AS FOLLOWS:

SECTION 1. The City Council of the City of Merced hereby approves the attached 2012-2013 Program Year Consolidated Annual Performance and Evaluation Report (CAPER), and authorizes the City Manager to submit the same to HUD on behalf of the City of Merced.

///

///

PASSED AND ADOPTED by the City Council of the City of Merced at a regular meeting held on the 16th day of September 2013, by the following vote:

AYES: Council Members: BLAKE, DOSSETTI, MURPHY, RAWLING, LOR, PEDROZO, THURSTON

NOES: Council Members: NONE

ABSENT: Council Members: NONE

ABSTAIN: Council Members: NONE

APPROVED:

  
\_\_\_\_\_  
Mayor

ATTEST:  
JOHN M. BRAMBLE, CITY CLERK

BY:   
\_\_\_\_\_  
Assistant/Deputy City Clerk

(SEAL)



APPROVED AS TO FORM:

  
\_\_\_\_\_  
City Attorney      Date